

Flk-1 IS A RECEPTOR FOR VASCULAR  
ENDOTHELIAL GROWTH FACTOR

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5 This application is a continuation-in-part of United States Application Serial No. 08/038,596, filed March 26, 1993, which is a continuation-in-part of United States Application Serial No. 07/975,750, filed November 13, 1992, both of which are incorporated by reference herein in their entirety.

10

1. INTRODUCTION

15 The present invention relates to the use of proteins, peptides and organic molecules capable of modulating FLK-1 receptor signal transduction in order to inhibit or promote angiogenesis and vasculogenesis. The invention is based, in part, on the demonstration that Flk-1 tyrosine kinase receptor expression is associated with endothelial cells and the identification of vascular endothelial growth factor (VEGF) as a high affinity ligand of Flk-1. These results indicate a major role for Flk-1 in the signaling system during vasculogenesis and angiogenesis. Engineering of host cells that express Flk-1 and the uses of expressed Flk-1 to evaluate and screen for drugs and analogs of VEGF involved in Flk-1 modulation by either agonist or antagonist activities is described.

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25  
30 The invention also relates to the use of FLK-1 ligands, including VEGF agonists and antagonists, in the treatment of disorders, including cancer, by modulating vasculogenesis and angiogenesis.

2. BACKGROUND OF THE INVENTION

35 Receptor tyrosine kinases comprise a large family of transmembrane receptors for polypeptide growth factors with diverse biological activities. Their

intrinsic tyrosine kinase function is activated upon ligand binding, which results in phosphorylation of the receptor and multiple cellular substrates, and subsequently in a variety of cellular responses

5 (Ullrich A. and Schlessinger, J., 1990, Cell 61:203-212).

A receptor tyrosine kinase cDNA, designated fetal liver kinase 1 (Flk-1), was cloned from mouse cell populations enriched for hematopoietic stem and  
10 progenitor cells. The receptor was suggested to be involved in hematopoietic stem cell renewal (Matthews et al., 1991, Proc. Natl. Acad. Sci. USA 88:9026-9030). Sequence analysis of the Flk-1 clone revealed considerable homology with the c-Kit subfamily of  
15 receptor kinases and in particular to the *Flt* gene product. These receptors all have in common an extracellular domain containing immunoglobulin-like structures.

The formation and spreading of blood vessels, or  
20 vasculogenesis and angiogenesis, respectively, play important roles in a variety of physiological processes such as embryonic development, wound healing, organ regeneration and female reproductive processes such as follicle development in the corpus  
25 luteum during ovulation and placental growth after pregnancy. Uncontrolled angiogenesis can be pathological such as in the growth of solid tumors that rely on vascularization for growth.

Angiogenesis involves the proliferation,  
30 migration and infiltration of vascular endothelial cells, and is likely to be regulated by polypeptide growth factors. Several polypeptides with in vitro endothelial cell growth promoting activity have been identified. Examples include acidic and basic  
35 fibroblastic growth factor, vascular endothelial

growth factor and placental growth factor. Although four distinct receptors for the different members of the FGF family have been characterized, none of these have as yet been reported to be expressed in blood vessels in vivo.

While the FGFs appear to be mitogens for a large number of different cell types, VEGF has recently been reported to be an endothelial cell specific mitogen (Ferrara, N. and Henzel, W.J., 1989, Biochem. Biophys. Res. Comm. 161:851-858). Recently, the *fms*-like tyrosine receptor, *flt*, was shown to have affinity for VEGF (DeVries, C. et al., 1992, Science 255:989-991).

### 3. SUMMARY OF THE INVENTION

The present invention relates to the use of peptides, proteins and organic molecules capable of modulating FLK-1 receptor signal transduction in order to inhibit or promote angiogenesis and/or vasculogenesis. The present invention is based, in part, on the discovery that the Flk-1 tyrosine kinase receptor is expressed on the surface of endothelial cells and the identification of vascular endothelial growth factor (VEGF) as a high affinity ligand of Flk-1. The role of endothelial cell proliferation and migration during angiogenesis and vasculogenesis indicate an important role for Flk-1 in these processes. The invention is described by way of example for the murine Flk-1, however, the principles may be applied to other species including humans.

Pharmaceutical reagents designed to inhibit the Flk-1/VEGF interaction may be useful in inhibition of tumor growth. VEGF and/or VEGF agonists may be used to promote wound healing. The invention relates to expression systems designed to produce Flk-1 protein and/or cell lines which express the Flk-1 receptor.

Expression of soluble recombinant Flk-1 protein may be used to screen peptide libraries for molecules that inhibit the Flk-1/VEGF interaction. Engineered cell lines expressing Flk-1 on their surface may be  
5 advantageously used to screen and identify VEGF agonists and antagonists.

#### 4. BRIEF DESCRIPTION OF THE FIGURES

FIG. 1. Comparison of the Flk-1 amino acid  
10 sequence with related RTKs. Amino acid sequence comparison of Flk-1 with human KDR and rat TKr-C. A section of the sequence which is known for all three receptors is compared and only differences to the Flk-1 sequence are shown.

15 FIGS. 2A and 2B. Northern blot analysis of Flk-1 gene expression. FIG 2A. Expression of Flk-1 RNA in day 9.5 to day 18.5 mouse embryos. Samples (10  $\mu$ g) of total RNA from whole mouse embryos were analyzed in each lane. Positions of 28S and 18S ribosomal RNAs  
20 are marked.

FIG. 2B Expression of Flk-1 mRNA in postnatal day 4 and adult brain in comparison with capillary fragments from postnatal day 4 brain. 1 $\mu$ g of poly (A<sup>+</sup>) RNA was loaded on each lane. The 5' 2619 bp of the Flk-1 cDNA  
25 were used as a probe. Control hybridization with a GAPDH cDNA probe is shown in the lower panel.

FIGS. 3A, 3B and 3C. Abundant Flk-1 gene expression in embryonic tissues. *In situ* hybridization analysis of Flk-1 expression in day 14.5  
30 mouse embryo. FIG. 3A Bright field illumination of a parasagittal section through the whole embryo hybridized with a <sup>35</sup>S-labeled antisense probe (5' 2619 bp). FIG. 3B Dark field illumination of the same section. FIG. 3C Control hybridization of an adjacent  
35 section with a sense probe. Abbreviations: Ao, aorta;

At, atrium; L, lung; Li, liver; Ma, mandible; Mn, meninges; Ms. mesencephalon; T, telencephalon; V, ventricle; Vt, vertebrae.

- FIGS. 4A, 4B, 4C, 4D and 4E. Expression of Flk-1 RNA in embryonic organs is restricted to specific cells. Expression of Flk-1 RNA in a day 14.5 mouse embryo at higher magnification. FIG. 4A The heart region was probed with a <sup>35</sup>S-labeled antisense probe. FIG. 4B Adjacent section hybridized with the sense probe.
- FIG. 4C Part of the aorta wall shown on the cellular level. The endothelial cell-layer is indicated by an arrow. FIG. 4D The lung, probed with the Flk-1 antisense probe. FIG. 4E Control hybridization of an adjacent section hybridized with the sense probe.
- Abbreviations: At, atrium; B, bronchus; Ed, endothelial cell layer; En, endocardium; L, lung, Li, liver; Lu, lumina of the aorta; Ml, muscular; My, myocardium.
- FIGS. 5A, 5B, 5C and 5D. Flk-1 gene expression in the brain of the developing mouse. *In situ* hybridization analysis of Flk-1 gene expression in the brain at different developmental stages. All sections were probed with the Flk-1 antisense probe. FIG. 5A Sagittal section of the telencephalon of a day 11.5 mouse embryo. A single blood vessel expressing Flk-1, which sprouts from the meninges into the neuroectoderm, is indicated by an arrow. FIG. 5B Sagittal sections of the brain of embryo day 14.5 and FIG. 5C of postnatal day 4. Shown are regions of the mesencephalon. Branching capillaries and blood vessels expressing Flk-1 are indicated by an arrow. FIG. D Sagittal section of an adult brain; a region of the mesencephalon is shown. Cells expressing Flk-1

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are indicated by an arrow. Abbreviations: M, meninges; V, ventricle;

FIG. 6A and 6B. Expression of Flk-1 in the choroid plexus of adult brain. FIG. 6A Darkfield illumination of the choroid plexus of an adult mouse brain hybridized with Flk-1 antisense probe. FIG. 6B Choroid plexus shown at a higher magnification. Arrows indicate single cells, which show strong expression of Flk-1. Abbreviations: CP, choroid plexus; E, ependyme; Ep, epithelial cells; V, ventricle.

FIGS. 7A, 7B, 7C and 7D. Flk-1 is expressed in the glomeruli of the kidney. FIG. 7A Parasagittal section of a 4-day postnatal kidney, hybridized with the Flk-1 antisense probe. Hybridization signal accumulates in the glomeruli, as indicated by arrowheads. FIG. 7B Control hybridization of an adjacent section with the sense probe. FIG. 7C Sagittal section of an adult kidney probed with Flk-1. Arrowheads indicate glomeruli. FIG. 7D Glomerulus of an adult kidney at a higher magnification. The arrows in (A) and (D) indicate cells aligned in strands in the juxtaglomerular region expressing Flk-1.

FIGS. 8A, 8b, 8C and 8D. *In situ* hybridization analysis of Flk-1 expression in early embryos and extraembryonic tissues. FIG. 8A Sagittal section of a day 8.5 mouse embryo in the maternal deciduum probed with Flk-1. FIG. 8B Higher magnification of the deciduum. Arrowheads indicate the endothelium of maternal blood vessels strongly expressing Flk-1 RNA. FIG. 8C High magnification of the yolk sac and the trophectoderm of a day 9.5 mouse embryo. FIG. 8D High magnification of a blood island. Abbreviations: A, allantois; Bi, blood island; Bv, maternal blood vessel; D, deciduum; En, endodermal layer of yolk sac;

M, mesenchyme; Ms, mesodermal layer of yolk sac; NF, neural fold; T, trophoblast; Y, yolk sac.

FIGS. 9A and 9B. Flk-1 is a receptor for VEGF.

FIG. 9A Cross linking of  $^{125}\text{I}$ -VEGF to COS cells

- 5 transiently expressing the Flk-1 receptor and control cells were incubated with  $^{125}\text{I}$ -VEGF at  $4^\circ\text{C}$  overnight, then washed twice with phosphate buffered saline (PBS) and exposed to 0.5 mM of the cross linking agent DSS in PBS for 1 hour at  $4^\circ\text{C}$ . The cells were lysed, Flk-1
- 10 receptor immunoprecipitated, and analyzed by polyacrylamide gel electrophoresis followed by autoradiography. Molecular size markers are indicated in kilodaltons. FIG. 9B Specific binding of  $^{125}\text{I}$ -VEGF to COS cells expressing Flk-1. COS cells transiently
- 15 expressing Flk-1 were removed from the plate and resuspended in binding medium (DMEM, 25 mM Hepes, 0.15% gelatin). Binding was performed at  $15^\circ\text{C}$  for 90 minutes in a total volume of 0.5 ml containing  $2 \times 10^5$  cells, 15,000 cpm  $^{125}\text{I}$ -VEGF, and the indicated
- 20 concentrations of unlabeled ligand. The cells were washed twice with PBS / 0.1% BSA and counted in a gamma counter.

- FIG. 10. VEGF-induced autophosphorylation of Flk-1. COS cells transiently expressing Flk-1
- 25 receptor and control cells were starved for 24 hours in DMEM containing 0.5% fetal calf serum and then stimulated with VEGF for 10 minutes as indicated. The cells were solubilized, Flk-1 receptor immunoprecipitated with a polyclonal antibody against
- 30 its C-terminus, separated by polyacrylamide gel electrophoresis, and transferred to nitrocellulose. The blot was probed with antiphosphotyrosine antibodies (5B2). The protein bands were visualized by using a horseradish-peroxidase coupled secondary
- 35 antibody and BCL<sup>TM</sup> (Amersham) detection assay.

FIGS. 11A and 11B. Nucleotide Sequence of Murine Flk-1.

FIGS. 12A and 12B. Plasmid Maps of retroviral vector constructs. FIG. 12A. pLXSN Flk-1 TM cl.1 and pLXSN Flk-1 TM cl.3, clonal isolates of pLXSN Flk-1 TM, contain Flk-1 amino acids 1 through 806 and lack 561 C-terminal amino acids of the intracellular kinase domain. FIG. 12B. pNTK-cfms-TM contains the 541 N-terminal amino acids of the CSF-1 receptor/c-fms.

FIG. 13. Inhibition of C6 glioblastoma tumor growth by transdominant-negative inhibition of Flk-1. C6 cells were implanted either alone or coimplanted with virus-producing cells. Cell numbers are as indicated in each panel. Two different virus-producing cells lines were used: one expressing the Flk-1 TM (transdominant-negative) mutant and one expressing a transdominant-negative c-fms mutant (c-fms TM) as a control. Beginning at the time when the first tumors appeared, tumor volumes were measured every 2 to 3 days to obtain a growth curve. Each group is represented by four mice.

FIG. 14. A second experiment showing inhibition of C6 glioblastoma tumor growth by transdominant-negative inhibition of Flk-1. C6 cells were implanted either alone or coimplanted with virus-producing cells. Cell numbers are as indicated in each panel. Two different virus-producing cell lines were used: one expressing the Flk-1 TM (transdominant-negative) mutant and one expressing a transdominant-negative c-fms mutant (cfms TM) as a control. Beginning at the time when the first tumor appeared, tumor volumes were measured every 2 to 3 days to obtain growth curve. Each group is represented by four mice.

FIG. 15. Inhibition of C6 glioblastoma tumor growth by localized injection of retroviral



supernatants.  $1 \times 10^6$  cells were subcutaneously implanted in nude mice. Starting at day 5 after implantation (denoted by the arrow), the growing tumors were treated by injection of 100  $\mu$ l retroviral supernatants (about 105 virus particles) into the site of tumor implantation. Tumor volumes were measured twice a week.

FIG. 16A and 16B. Inhibition of C6 glioblastoma tumor growth by localized injection of retroviral supernatants. C6 cells implanted intracranially in rats, either alone or co-implanted with virus producing cells expressing the Flk-1 TM (transdominant negative) mutant. Each group is represented by 8 rats. Cell numbers are as indicated in Example 6.1.13.

FIG. 16A shows the distribution of tumor size in each rat. FIG. 16B shows the median tumor area for each of the two groups of rats.

FIG. 17. Inhibition of VEGF stimulatory activity of Flk-1. Test compounds and VEGF were co-incubated on cells expressing the Flk-1 receptor. The level of tyrosine phosphorylation was measured in a Western blot format using an antiphosphotyrosine antibody. Compound A14 completely inhibited the ability of VEGF to stimulate autophosphorylation of Flk-1. (Compounds A7, A8, and A10 were toxic to these cells resulting in cell death.)

##### 5. DETAILED DESCRIPTION OF THE INVENTION

The present invention relates to the use of peptides, proteins, and organic molecules capable of modulating FLK-1 receptor signal transduction in order to inhibit or promote angiogenesis and/or vasculogenesis. More specifically, the invention is directed to VEGF, a natural ligand for Flk-1, as well

as VEGF agonists and antagonists, anti-VEGF and anti-Flk-1 antibodies, and VEGF and Flk-1 encoding polynucleotides, all of which may find use in modulating Flk-1 signal transduction. Another aspect  
5 of the invention relates to the use of Flk-1 expressing cells to evaluate and screen proteins, peptides, and organic compounds that may be involved in Flk-1 receptor activation, regulation and/or uncoupling. Such regulators of Flk-1 may be used  
10 therapeutically. For example, agonists of VEGF may be used in processes such as wound healing; in contrast, antagonists of VEGF may be used in the treatment of tumors that rely on vascularization for growth.

The invention, is based, in part, on results from  
15 *in situ*-hybridization and Northern blot analyses indicating that Flk-1 is an endothelial cell specific RTK. In addition, cross-linking experiments have shown Flk-1 to be a high affinity receptor for vascular endothelial growth factor (VEGF), indicating  
20 that Flk-1 plays a crucial role in the development and differentiation of hemangioblast and in subsequent endothelial cell growth during vasculogenesis and angiogenesis.

The invention is based, also, on the discovery  
25 that expression of a transdominant-negative mutant form of the Flk-1 molecule can inhibit the biological activity of the endogenous wild type Flk-1.

Experiments are described herein, in which tumor cells and cells expressing retrovirally-encoded truncated,  
30 signalling-incompetent Flk-1 receptor were injected into mice. In these experiments, the cells producing a recombinant retrovirus encoding a truncated Flk-1 receptor were either co-injected with the tumor cells or injected 5 days after injection of the tumor cells.  
35 Inhibition of vasculogenesis and growth of the

injected tumor cells was observed in mice expressing the truncated form of the Flk-1 receptor. Inhibition of tumor growth observed when the truncated Flk-1 receptor was injected 5 days after injection of the tumor cells indicates that even established tumors may be suppressed by Flk-1 dominant-negative action. Thus, the invention provides a method of inhibiting the biological activity of signalling-competent Flk-1 receptors comprising introducing a signalling-incompetent Flk-1 receptor mutant into or in the vicinity of cells expressing such signalling-competent Flk-1 receptors. Accordingly, expression of transdominant negative forms of the Flk-1 molecule may be useful for treatment of diseases resulting from VEGF and/or Flk-1 mediated, abnormal proliferation of blood vessels, such as rheumatoid arthritis, retinopathies and growth of solid tumors.

As explained in the working examples, infra, the polymerase chain reaction (PCR) method was used to isolate new receptor tyrosine kinases specifically expressed in post-implantation embryos and endothelial cells. One such clone was found to encode a RTK that had almost identical sequence homology with the previously identified cDNA clone isolated from populations of cells enriched for hematopoietic cells and designated fetal liver kinase-1 (Flk-1) (Matthews et al., 1991, Proc. Natl. Acad Sci. U.S.A. 88:9026-9030) (FIGS. 11A and 11B).

For clarity of discussion, the invention is described in the subsections below by way of example for the murine Flk-1. However, the principles may be analogously applied to clone and express the Flk-1 of other species including humans.

### 5.1. THE Flk-1 CODING SEQUENCE

The nucleotide coding sequence and deduced amino acid sequence of the murine Flk-1 gene is depicted in Figures 11A and 11B (SEQ. ID NO. 1) and has recently  
5 been described in Matthews et al., 1991, Proc. Natl. Acad. Sci. U.S.A., 88:9026-9030. In accordance with the invention, the nucleotide sequence of the Flk-1 protein or its functional equivalent in mammals, including humans, can be used to generate recombinant  
10 molecules which direct the expression of Flk-1; hereinafter, this receptor will be referred to as "Flk-1", regardless of the species from which it is derived.

In a specific embodiment described herein, the  
15 murine Flk-1 gene was isolated by performing a polymerase chain reaction (PCR) using two degenerate oligonucleotide primer pools that were designed on the basis of highly conserved sequences within the kinase domain of receptor tyrosine kinases (Hanks et al.,  
20 1988,) As a template, DNA from a  $\lambda$ gt10 cDNA library prepared from day 8.5 mouse embryos, was used. In a parallel approach, similar primers were used to amplify RTK cDNA sequences from capillary endothelial cells that had been isolated from the brains of post-  
25 natal day 4-8 mice. This is a time when brain endothelial cell proliferation is maximal. Both approaches yielded cDNA sequences encoding the recently described fetal liver RTK, Flk-1 (Matthews et al., 1991). Based on amino acid homology, this  
30 receptor is a member of the type III subclass of RTKs (Ullrich and Schlessinger) which contain immunoglobulin-like repeats in their extracellular domains (FIG. 1).

The invention also relates to Flk-1 genes  
35 isolated from other species, including humans, in

which Flk-1 activity exists. Members of the Flk-1 family are defined herein as those receptors that bind VEGF or fragments of the peptide. Such receptors may demonstrate about 80% homology at the amino acid level

5 in substantial stretches of DNA sequence. A bacteriophage cDNA library may be screened, under conditions of reduced stringency, using a radioactively labeled fragment of the mouse Flk-1 clone. Alternatively the mouse Flk-1 sequence can be

10 used to design degenerate or fully degenerate oligonucleotide probes which can be used as PCR probes or to screen bacteriophage cDNA libraries. A polymerase chain reaction (PCR) based strategy may be used to clone human Flk-1. Two pools of degenerate

15 oligonucleotides, corresponding to a conserved motif between the mouse Flk-1 and receptor tyrosine kinases, may be designed to serve as primers in a PCR reaction. The template for the reaction is cDNA obtained by reverse transcription of mRNA prepared from cell lines

20 or tissue known to express human Flk-1. The PCR product may be subcloned and sequenced to insure that the amplified sequences represent the Flk-1 sequences. The PCR fragment may be used to isolate a full length Flk-1 cDNA clone by radioactively labeling the

25 amplified fragment and screening a bacteriophage cDNA library. Alternatively, the labeled fragment may be used to screen a genomic library. For a review of cloning strategies which may be used, see e.g., Maniatis, 1989, Molecular Cloning, A Laboratory

30 Manual, Cold Springs Harbor Press, N.Y.; and Ausubel et al., 1989, Current Protocols in Molecular Biology, (Green Publishing Associates and Wiley Interscience, N.Y.)

Isolation of a human Flk-1 cDNA may also be

35 achieved by construction of a cDNA library in a

mammalian expression vector such as pcDNA1, that contains SV40 origin of replication sequences which permit high copy number expression of plasmids when transferred into COS cells. The expression of Flk-1  
5 on the surface of transfected COS cells may be detected in a number of ways, including the use of a labeled ligand such as VEGF or a VEGF agonist labeled with a radiolabel, fluorescent label or an enzyme. Cells expressing the human Flk-1 may be enriched by  
10 subjecting transfected cells to a FACS (fluorescent activated cell sorter) sort.

In accordance with the invention, Flk-1 nucleotide sequences which encode Flk-1, peptide fragments of Flk-1, Flk-1 fusion proteins or  
15 functional equivalents thereof may be used to generate recombinant DNA molecules that direct the expression of Flk-1 protein or a functional equivalent thereof, in appropriate host cells. Alternatively, nucleotide sequences which hybridize to portions of the Flk-1  
20 sequence may also be used in nucleic acid hybridization assays, Southern and Northern blot analyses, etc.

Due to the inherent degeneracy of the genetic code, other DNA sequences which encode substantially  
25 the same or a functionally equivalent amino acid sequence, may be used in the practice of the invention for the cloning and expression of the Flk-1 protein. Such DNA sequences include those which are capable of hybridizing to the murine Flk-1 sequence under  
30 stringent conditions.

Altered DNA sequences which may be used in accordance with the invention include deletions, additions or substitutions of different nucleotide residues resulting in a sequence that encodes the same or a  
35 functionally equivalent gene product. The gene

product itself may contain deletions, additions or substitutions of amino acid residues within the Flk-1 sequence, which result in a silent change thus producing a functionally equivalent Flk-1. Such amino acid  
5 substitutions may be made on the basis of similarity in polarity, charge, solubility, hydrophobicity, hydrophilicity, and/or the amphipatic nature of the residues involved. For example, negatively charged amino acids include aspartic acid and glutamic acid;  
10 positively charged amino acids include lysine and arginine; amino acids with uncharged polar head groups having similar hydrophilicity values include the following: leucine, isoleucine, valine; glycine, analine; asparagine, glutamine; serine, threonine;  
15 phenylalanine, tyrosine. As used herein, a functionally equivalent Flk-1 refers to a receptor which binds to VEGF or fragments, but not necessarily with the same binding affinity of its counterpart native Flk-1.

The DNA sequences of the invention may be engineered in order to alter the Flk-1 coding sequence for  
20 a variety of ends including but not limited to alterations which modify processing and expression of the gene product. For example, mutations may be introduced using techniques which are well known in the art, e.g. site-directed mutagenesis, to insert new  
25 restriction sites, to alter glycosylation patterns, phosphorylation, etc. For example, in certain expression systems such as yeast, host cells may over glycosylate the gene product. When using such expression systems it may be preferable to alter the Flk-1  
30 coding sequence to eliminate any N-linked glycosylation site.

In another embodiment of the invention, the Flk-1 or a modified Flk-1 sequence may be ligated to a  
35 heterologous sequence to encode a fusion protein. For

example, for screening of peptide libraries it may be useful to encode a chimeric Flk-1 protein expressing a heterologous epitope that is recognized by a commercially available antibody. A fusion protein may also be engineered to contain a cleavage site located between the Flk-1 sequence and the heterologous protein sequence, so that the Flk-1 can be cleaved away from the heterologous moiety.

In an alternate embodiment of the invention, the coding sequence of Flk-1 could be synthesized in whole or in part, using chemical methods well known in the art. See, for example, Caruthers, et al., 1980, Nuc. Acids Res. Symp. Ser. 7:215-233; Crea and Horn, 180, Nuc. Acids Res. 9(10):2331; Matteucci and Caruthers, 1980, Tetrahedron Letters 21:719; and Chow and Kempe, 1981, Nuc. Acids Res. 9(12):2807-2817. Alternatively, the protein itself could be produced using chemical methods to synthesize the Flk-1 amino acid sequence in whole or in part. For example, peptides can be synthesized by solid phase techniques, cleaved from the resin, and purified by preparative high performance liquid chromatography. (E.g., see Creighton, 1983, Proteins Structures And Molecular Principles, W.H. Freeman and Co., N.Y. pp. 50-60). The composition of the synthetic peptides may be confirmed by amino acid analysis or sequencing (e.g., the Edman degradation procedure; see Creighton, 1983, Proteins, Structures and Molecular Principles, W.H. Freeman and Co., N.Y., pp. 34-49).

#### 5.2. EXPRESSION OF Flk-1 RECEPTOR AND GENERATION OF CELL LINES THAT EXPRESS Flk-1

In order to express a biologically active Flk-1, the nucleotide sequence coding for Flk-1, or a functional equivalent as described in Section 5.1 supra,



is inserted into an appropriate expression vector,  
i.e., a vector which contains the necessary elements  
for the transcription and translation of the inserted  
coding sequence. The Flk-1 gene products as well as  
5 host cells or cell lines transfected or transformed  
with recombinant Flk-1 expression vectors can be used  
for a variety of purposes. These include but are not  
limited to generating antibodies (i.e., monoclonal or  
polyclonal) that bind to the receptor, including those  
10 that competitively inhibit binding of VEGF and  
"neutralize" activity of Flk-1 and the screening and  
selection of VEGF analogs or drugs that act via the  
Flk-1 receptor; etc.

15 5.2.1. EXPRESSION SYSTEMS

Methods which are well known to those skilled in  
the art can be used to construct expression vectors  
containing the Flk-1 coding sequence and appropriate  
transcriptional/translational control signals. These  
20 methods include in vitro recombinant DNA techniques,  
synthetic techniques and in vivo recombination/genetic  
recombination. See, for example, the techniques  
described in Maniatis et al., 1989, Molecular Cloning  
A Laboratory Manual, Cold Spring Harbor Laboratory,  
25 N.Y. and Ausubel et al., 1989, Current Protocols in  
Molecular Biology, Greene Publishing Associates and  
Wiley Interscience, N.Y.

A variety of host-expression vector systems may  
be utilized to express the Flk-1 coding sequence.  
30 These include but are not limited to microorganisms  
such as bacteria transformed with recombinant  
bacteriophage DNA, plasmid DNA or cosmid DNA expres-  
sion vectors containing the Flk-1 coding sequence;  
yeast transformed with recombinant yeast expression  
35 vectors containing the Flk-1 coding sequence; insect

cell systems infected with recombinant virus expres-  
sion vectors (e.g., baculovirus) containing the Flk-1  
coding sequence; plant cell systems infected with  
recombinant virus expression vectors (e.g.,  
5 cauliflower mosaic virus, CaMV; tobacco mosaic virus,  
TMV) or transformed with recombinant plasmid expres-  
sion vectors (e.g., Ti plasmid) containing the Flk-1  
coding sequence; or animal cell systems infected with  
recombinant virus expression vectors (e.g.,  
10 adenovirus, vaccinia virus) including cell lines  
engineered to contain multiple copies of the Flk-1 DNA  
either stably amplified (CHO/dhfr) or unstably  
amplified in double-minute chromosomes (e.g., murine  
cell lines).

15 The expression elements of these systems vary in  
their strength and specificities. Depending on the  
host/vector system utilized, any of a number of  
suitable transcription and translation elements,  
including constitutive and inducible promoters, may be  
20 used in the expression vector. For example, when  
cloning in bacterial systems, inducible promoters such  
as pL of bacteriophage  $\lambda$ , plac, ptrp, ptac (ptrp-lac  
hybrid promoter) and the like may be used; when  
cloning in insect cell systems, promoters such as the  
25 baculovirus polyhedrin promoter may be used; when  
cloning in plant cell systems, promoters derived from  
the genome of plant cells (e.g., heat shock promoters;  
the promoter for the small subunit of RUBISCO; the  
promoter for the chlorophyll a/b binding protein) or  
30 from plant viruses (e.g., the 35S RNA promoter of  
CaMV; the coat protein promoter of TMV) may be used;  
when cloning in mammalian cell systems, promoters  
derived from the genome of mammalian cells (e.g.,  
metallothionein promoter) or from mammalian viruses  
35 (e.g., the adenovirus late promoter; the vaccinia

virus 7.5K promoter) may be used; when generating cell lines that contain multiple copies of the Flk-1 DNA SV40-, BPV- and EBV-based vectors may be used with an appropriate selectable marker.

5        In bacterial systems a number of expression vectors may be advantageously selected depending upon the use intended for the Flk-1 expressed. For example, when large quantities of Flk-1 are to be produced for the generation of antibodies or to screen  
10 peptide libraries, vectors which direct the expression of high levels of fusion protein products that are readily purified may be desirable. Such vectors include but are not limited to the E. coli expression vector pUR278 (Ruther et al., 1983, EMBO J. 2:1791),  
15 in which the Flk-1 coding sequence may be ligated into the vector in frame with the lac Z coding region so that a hybrid AS-lac Z protein is produced; pIN vectors (Inouye & Inouye, 1985, Nucleic acids Res. 13:3101-3109; Van Heeke & Schuster, 1989, J. Biol. Chem. 264:5503-5509); and the like. pGEX vectors may also be used to express foreign polypeptides as fusion proteins with glutathione S-transferase (GST). In general, such fusion proteins are soluble and can easily be purified from lysed cells by adsorption to  
25 glutathione-agarose beads followed by elution in the presence of free glutathione. The pGEX vectors are designed to include thrombin or factor Xa protease cleavage sites so that the cloned polypeptide of interest can be released from the GST moiety.

30        In yeast, a number of vectors containing constitutive or inducible promoters may be used. For a review see, Current Protocols in Molecular Biology, Vol. 2, 1988, Ed. Ausubel et al., Greene Publish. Assoc. & Wiley Interscience, Ch. 13; Grant et al.,  
35 1987, Expression and Secretion Vectors for Yeast, in

- Methods in Enzymology, Eds. Wu & Grossman, 1987, Acad. Press, N.Y., Vol. 153, pp. 516-544; Glover, 1986, DNA Cloning, Vol. II, IRL Press, Wash., D.C., Ch. 3; and Bitter, 1987, Heterologous Gene Expression in Yeast,
- 5 Methods in Enzymology, Eds. Berger & Kimmel, Acad. Press, N.Y., Vol. 152, pp. 673-684; and The Molecular Biology of the Yeast *Saccharomyces*, 1982, Eds. Strathern et al., Cold Spring Harbor Press, Vols. I and II.
- 10 In cases where plant expression vectors are used, the expression of the Flk-1 coding sequence may be driven by any of a number of promoters. For example, viral promoters such as the 35S RNA and 19S RNA promoters of CaMV (Brisson et al., 1984, Nature
- 15 310:511-514), or the coat protein promoter of TMV (Takamatsu et al., 1987, EMBO J. 6:307-311) may be used; alternatively, plant promoters such as the small subunit of RUBISCO (Coruzzi et al., 1984, EMBO J. 3:1671-1680; Broglie et al., 1984, Science 224:838-
- 20 843); or heat shock promoters, e.g., soybean hsp17.5-E or hsp17.3-B (Gurley et al., 1986, Mol. Cell. Biol. 6:559-565) may be used. These constructs can be introduced into plant cells using Ti plasmids, Ri plasmids, plant virus vectors, direct DNA transfor-
- 25 mation, microinjection, electroporation, etc. For reviews of such techniques see, for example, Weissbach & Weissbach, 1988, Methods for Plant Molecular Biology, Academic Press, NY, Section VIII, pp. 421-463; and Grierson & Corey, 1988, Plant Molecular
- 30 Biology, 2d Ed., Blackie, London, Ch. 7-9.

An alternative expression system which could be used to express Flk-1 is an insect system. In one such system, Autographa californica nuclear polyhydrosis virus (AcNPV) is used as a vector to

35 express foreign genes. The virus grows in Spodoptera

frugiperda cells. The Flk-1 coding sequence may be cloned into non-essential regions (for example the polyhedrin gene) of the virus and placed under control of an AcNPV promoter (for example the polyhedrin promoter). Successful insertion of the Flk-1 coding sequence will result in inactivation of the polyhedrin gene and production of non-occluded recombinant virus (i.e., virus lacking the proteinaceous coat coded for by the polyhedrin gene). These recombinant viruses are then used to infect Spodoptera frugiperda cells in which the inserted gene is expressed. (E.g., see Smith et al., 1983, J. Viol. 46:584; Smith, U.S. Patent No. 4,215,051).

In mammalian host cells, a number of viral based expression systems may be utilized. In cases where an adenovirus is used as an expression vector, the Flk-1 coding sequence may be ligated to an adenovirus transcription/translation control complex, e.g., the late promoter and tripartite leader sequence. This chimeric gene may then be inserted in the adenovirus genome by in vitro or in vivo recombination. Insertion in a non-essential region of the viral genome (e.g., region E1 or E3) will result in a recombinant virus that is viable and capable of expressing Flk-1 in infected hosts. (E.g., See Logan & Shenk, 1984, Proc. Natl. Acad. Sci. (USA) 81:3655-3659). Alternatively, the vaccinia 7.5K promoter may be used. (See, e.g., Mackett et al., 1982, Proc. Natl. Acad. Sci. (USA) 79:7415-7419; Mackett et al., 1984, J. Virol. 49:857-864; Panicali et al., 1982, Proc. Natl. Acad. Sci. 79:4927-4931).

Specific initiation signals may also be required for efficient translation of inserted Flk-1 coding sequences. These signals include the ATG initiation codon and adjacent sequences. In cases where the

entire Flk-1 gene, including its own initiation codon and adjacent sequences, is inserted into the appropriate expression vector, no additional translational control signals may be needed. However, in cases  
5 where only a portion of the Flk-1 coding sequence is inserted, exogenous translational control signals, including the ATG initiation codon, must be provided. Furthermore, the initiation codon must be in phase with the reading frame of the Flk-1 coding sequence to  
10 ensure translation of the entire insert. These exogenous translational control signals and initiation codons can be of a variety of origins, both natural and synthetic. The efficiency of expression may be enhanced by the inclusion of appropriate transcription  
15 enhancer elements, transcription terminators, etc. (see Bittner et al., 1987, Methods in Enzymol. 153:516-544).

In addition, a host cell strain may be chosen which modulates the expression of the inserted  
20 sequences, or modifies and processes the gene product in the specific fashion desired. Such modifications (e.g., glycosylation) and processing (e.g., cleavage) of protein products may be important for the function of the protein. Different host cells have charac-  
25 teristic and specific mechanisms for the post-translational processing and modification of proteins. Appropriate cells lines or host systems can be chosen to ensure the correct modification and processing of the foreign protein expressed. To this end,  
30 eukaryotic host cells which possess the cellular machinery for proper processing of the primary transcript, glycosylation, and phosphorylation of the gene product may be used. Such mammalian host cells include but are not limited to CHO, VERO, BHK, HeLa,  
35 COS, MDCK, 293, WI38, etc.

For long-term, high-yield production of recombinant proteins, stable expression is preferred. For example, cell lines which stably express the Flk-1 may be engineered. Rather than using expression vectors  
5 which contain viral origins of replication, host cells can be transformed with the Flk-1 DNA controlled by appropriate expression control elements (e.g., promoter, enhancer, sequences, transcription terminators, polyadenylation sites, etc.), and a selectable  
10 marker. Following the introduction of foreign DNA, engineered cells may be allowed to grow for 1-2 days in an enriched media, and then are switched to a selective media. The selectable marker in the recombinant plasmid confers resistance to the  
15 selection and allows cells to stably integrate the plasmid into their chromosomes and grow to form foci which in turn can be cloned and expanded into cell lines. This method may advantageously be used to engineer cell lines which express the Flk-1 on the  
20 cell surface, and which respond to VEGF mediated signal transduction. Such engineered cell lines are particularly useful in screening VEGF analogs.

A number of selection systems may be used, including but not limited to the herpes simplex virus  
25 thymidine kinase (Wigler, et al., 1977, Cell 11:223), hypoxanthine-guanine phosphoribosyltransferase (Szybalska & Szybalski, 1962, Proc. Natl. Acad. Sci. USA 48:2026), and adenine phosphoribosyltransferase (Lowy, et al., 1980, Cell 22:817) genes can be  
30 employed in tk<sup>-</sup>, hgp<sup>r</sup>t<sup>-</sup> or ap<sup>r</sup>t<sup>-</sup> cells, respectively. Also, antimetabolite resistance can be used as the basis of selection for dhfr, which confers resistance to methotrexate (Wigler, et al., 1980, Natl. Acad. Sci. USA 77:3567; O'Hare, et al., 1981, Proc. Natl.  
35 Acad. Sci. USA 78:1527); gpt, which confers resistance

to mycophenolic acid (Mulligan & Berg, 1981), Proc. Natl. Acad. Sci. USA 78:2072); neo, which confers resistance to the aminoglycoside G-418 (Colberre-Garapin, et al., 1981, J. Mol. Biol. 150:1); and  
5 hygro, which confers resistance to hygromycin (Santerre, et al., 1984, Gene 30:147) genes. Recently, additional selectable genes have been described, namely trpB, which allows cells to utilize indole in place of tryptophan; hisD, which allows  
10 cells to utilize histinol in place of histidine (Hartman & Mulligan, 1988, Proc. Natl. Acad. Sci. USA 85:8047); and ODC (ornithine decarboxylase) which confers resistance to the ornithine decarboxylase inhibitor, 2-(difluoromethyl)-DL-ornithine, DFMO  
15 (McConlogue L., 1987, In: Current Communications in Molecular Biology, Cold Spring Harbor Laboratory ed.).

#### 5.2.2. IDENTIFICATION OF TRANSFECTANTS OR TRANSFORMANTS THAT EXPRESS 20 THE Flk-1

The host cells which contain the coding sequence and which express the biologically active gene product may be identified by at least four general approaches;  
(a) DNA-DNA or DNA-RNA hybridization; (b) the presence  
25 or absence of "marker" gene functions; (c) assessing the level of transcription as measured by the expression of Flk-1 mRNA transcripts in the host cell; and (d) detection of the gene product as measured by immunoassay or by its biological activity.

In the first approach, the presence of the Flk-1  
30 coding sequence inserted in the expression vector can be detected by DNA-DNA or DNA-RNA hybridization using probes comprising nucleotide sequences that are homologous to the Flk-1 coding sequence, respectively,  
35 or portions or derivatives thereof.



In the second approach, the recombinant expression vector/host system can be identified and selected based upon the presence or absence of certain "marker" gene functions (e.g., thymidine kinase activity, resistance to antibiotics, resistance to methotrexate, transformation phenotype, occlusion body formation in baculovirus, etc.). For example, if the Flk-1 coding sequence is inserted within a marker gene sequence of the vector, recombinants containing the Flk-1 coding sequence can be identified by the absence of the marker gene function. Alternatively, a marker gene can be placed in tandem with the Flk-1 sequence under the control of the same or different promoter used to control the expression of the Flk-1 coding sequence. Expression of the marker in response to induction or selection indicates expression of the Flk-1 coding sequence.

In the third approach, transcriptional activity for the Flk-1 coding region can be assessed by hybridization assays. For example, RNA can be isolated and analyzed by Northern blot using a probe homologous to the Flk-1 coding sequence or particular portions thereof. Alternatively, total nucleic acids of the host cell may be extracted and assayed for hybridization to such probes.

In the fourth approach, the expression of the Flk-1 protein product can be assessed immunologically, for example by Western blots, immunoassays such as radioimmuno-precipitation, enzyme-linked immunoassays and the like. The ultimate test of the success of the expression system, however, involves the detection of the biologically active Flk-1 gene product. A number of assays can be used to detect receptor activity including but not limited to VEGF binding assays; and

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VEGF biological assays using engineered cell lines as the test substrate.

5.3. USES OF THE Flk-1 RECEPTOR  
AND ENGINEERED CELL LINES

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Angiogenesis, the growth of new blood capillary vessels, is required for a number of physiological processes ranging from wound healing, tissue and organ regeneration, placental formation after pregnancy and embryonic development. Abnormal proliferation of blood vessels is an important component of a variety of diseases such as rheumatoid arthritis, retinopathies, and psoriasis. Angiogenesis is also an important factor in the growth and metastatic activity of solid tumors that rely on vascularization. Therefore, inhibitors of angiogenesis may be used therapeutically for the treatment of diseases resulting from or accompanied by abnormal growth of blood vessels and for treatments of malignancies involving growth and spread of solid tumors.

In an embodiment of the invention the Flk-1 receptor and/or cell lines that express the Flk-1 receptor may be used to screen for antibodies, peptides, organic molecules or other ligands that act as agonists or antagonists of angiogenesis or vasculogenesis mediated by the Flk-1 receptor. For example, anti-Flk-1 antibodies capable of neutralizing the activity of VEGF, may be used to inhibit Flk-1 function. Additionally, anti-Flk-1 antibodies which mimic VEGF activity may be selected for uses in wound healing. Alternatively, screening of peptide libraries or organic compounds with recombinantly expressed soluble Flk-1 protein or cell lines expressing Flk-1 protein may be useful for

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identification of therapeutic molecules that function by inhibiting the biological activity of Flk-1.

In an embodiment of the invention, engineered cell lines which express the entire Flk-1 coding  
5 region or its ligand binding domain may be utilized to screen and identify VEGF antagonists as well as agonists. Synthetic compounds, natural products, and other sources of potentially biologically active materials can be screened in a number of ways. The  
10 ability of a test compound to inhibit binding of VEGF to Flk-1 may be measured using standard receptor binding techniques, such as those described in Section 6.1.9., or using any of the compound screening assays described in Section 5.3.2. and 6.1.14. The ability  
15 of agents to prevent or mimic, the effect of VEGF binding on signal transduction responses on Flk-1 expressing cells may be measured. For example, responses such as activation of Flk-1 kinase activity, modulation of second messenger production or changes  
20 in cellular metabolism may be monitored. These assays may be performed using conventional techniques developed for these purposes.

The ability of a test compound to modulate signal transduction through the VEGF-Flk-1 system may also be  
25 measured *in vivo*, in models such as those described in Section 6.1.12. and 6.1.13. The ability of agents to prevent the effect of VEGF binding on signal transduction responses of Flk-1 expressing cells may be measured. For example, responses such as  
30 inhibition of angiogenesis, inhibition of the development of solid tumors, or reduction of solid tumor size may be monitored.

5.3.1. SCREENING OF PEPTIDE LIBRARY  
WITH Flk-1 PROTEIN OR  
ENGINEERED CELL LINES

5 Random peptide libraries consisting of all possible combinations of amino acids attached to a solid phase support may be used to identify peptides that are able to bind to the ligand binding site of a given receptor or other functional domains of a receptor such as kinase domains (Lam, K.S. et al., 1991, Nature 354: 82-84). The screening of peptide libraries may have therapeutic value in the discovery of pharmaceutical agents that act to inhibit the biological activity of receptors through their interactions with the given receptor.

10 Identification of molecules that are able to bind to the Flk-1 may be accomplished by screening a peptide library with recombinant soluble Flk-1 protein. Methods for expression and purification of Flk-1 are described in Section 5.2.1 and may be used to express recombinant full length Flk-1 or fragments of Flk-1 depending on the functional domains of interest. For example, the kinase and extracellular ligand binding domains of Flk-1 may be separately expressed and used to screen peptide libraries.

15 To identify and isolate the peptide/solid phase support that interacts and forms a complex with Flk-1, it is necessary to label or "tag" the Flk-1 molecule. The Flk-1 protein may be conjugated to enzymes such as alkaline phosphatase or horseradish peroxidase or to other reagents such as fluorescent labels which may include fluorescein isothiocyanate (FITC), phycoerythrin (PE) or rhodamine. Conjugation of any given label, to Flk-1, may be performed using techniques that are routine in the art.

20 25 30 35 Alternatively, Flk-1 expression vectors may be engineered to express a chimeric Flk-1 protein

containing an epitope for which a commercially available antibody exist. The epitope specific antibody may be tagged using methods well known in the art including labeling with enzymes, fluorescent dyes  
5 or colored or magnetic beads.

The "tagged" Flk-1 conjugate is incubated with the random peptide library for 30 minutes to one hour at 22°C to allow complex formation between Flk-1 and peptide species within the library. The library is  
10 then washed to remove any unbound Flk-1 protein. If Flk-1 has been conjugated to alkaline phosphatase or horseradish peroxidase the whole library is poured into a petri dish containing a substrates for either alkaline phosphatase or peroxidase, for example, 5-  
15 bromo-4-chloro-3-indoyl phosphate (BCIP) or 3,3',4,4"-diamnbenzidine (DAB), respectively. After incubating for several minutes, the peptide/solid phase-Flk-1 complex changes color, and can be easily identified and isolated physically under a dissecting  
20 microscope with a micromanipulator. If a fluorescent tagged Flk-1 molecule has been used, complexes may be isolated by fluorescent activated sorting. If a chimeric Flk-1 protein expressing a heterologous epitope has been used, detection of the peptide/Flk-1  
25 complex may be accomplished by using a labeled epitope specific antibody. Once isolated, the identity of the peptide attached to the solid phase support may be determined by peptide sequencing.

In addition to using soluble Flk-1 molecules, in  
30 another embodiment, it is possible to detect peptides that bind to cell surface receptors using intact cells. The use of intact cells is preferred for use with receptors that are multi-subunits or labile or with receptors that require the lipid domain of the  
35 cell membrane to be functional. Methods for

generating cell lines expressing Flk-1 are described in Sections 5.2.1. and 5.2.2. The cells used in this technique may be either live or fixed cells. The cells will be incubated with the random peptide library and will bind to certain peptides in the library to form a "rosette" between the target cells and the relevant solid phase support/peptide. The rosette can thereafter be isolated by differential centrifugation or removed physically under a dissecting microscope.

As an alternative to whole cell assays for membrane bound receptors or receptors that require the lipid domain of the cell membrane to be functional, the receptor molecules can be reconstituted into liposomes where label or "tag" can be attached.

#### 5.3.2. SCREENING OF ORGANIC COMPOUNDS WITH Flk-1 PROTEIN OR ENGINEERED CELL LINES

Cell lines that express Flk-1 may be used to screen for molecules that modulate Flk-1 receptor activity or signal transduction. Such molecules may include small organic or inorganic compounds, or other molecules that modulate Flk-1 receptor activity or that promote or prevent the formation of Flk-1/VEGF complex. Synthetic compounds, natural products, and other sources of potentially biologically active materials can be screened in a number of ways.

The ability of a test molecule to interfere with VEGF-Flk-1 binding and/or Flk-1 receptor signal may be measured using standard biochemical techniques. Other responses such as activation or suppression of catalytic activity, phosphorylation or dephosphorylation of other proteins, activation or modulation of second messenger production, changes in cellular ion levels, association, dissociation or

translocation of signalling molecules, or transcription or translation of specific genes may also be monitored. These assays may be performed using conventional techniques developed for these  
5 purposes in the course of screening.

Ligand binding to its cellular receptor may, via signal transduction pathways, affect a variety of cellular processes. Cellular processes under the control of the Flk-1/VEGF signalling pathway may  
10 include, but are not limited to, normal cellular functions, proliferation, differentiation, maintenance of cell shape, and adhesion, in addition to abnormal or potentially deleterious processes such as unregulated cell proliferation, loss of contact  
15 inhibition, blocking of differentiation or cell death. The qualitative or quantitative observation and measurement of any of the described cellular processes by techniques known in the art may be advantageously used as a means of scoring for signal transduction in  
20 the course of screening.

Various embodiments are described below for screening, identification and evaluation of compounds that interact with the Flk-1 receptor, which compounds may affect various cellular processes under the  
25 control of the Flk/VEGF receptor signalling pathway.

The present invention includes a method for identifying a compound which is capable of modulating signal transduction, comprising:

- 30 (a) contacting the compound with Flk-1, or a functional derivative thereof, in pure or semi-pure form, in a membrane preparation, or in a whole live or fixed cell;
- (b) incubating the mixture of step (a) in the presence of VEGF, for an interval sufficient

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for the compound to stimulate or inhibit the signal transduction;

- (c) measuring the signal transduction;
- (d) comparing the signal transduction activity to that of Flk-1, incubated without the compound, thereby determining whether the compound stimulates or inhibits signal transduction.

Flk-1, or functional derivatives thereof, useful in identifying compounds capable of modulating signal transduction may have, for example, amino acid deletions and/or insertions and/or substitutions as long as they retain significant signal transducing capacity. A functional derivative of Flk-1 may be prepared from a naturally occurring or recombinantly expressed Flk-1 by proteolytic cleavage followed by conventional purification procedures known to those skilled in the art. Alternatively, the functional derivative may be produced by recombinant DNA technology by expressing parts of Flk-1 which include the functional domain in suitable cells. Functional derivatives may also be chemically synthesized. Cells expressing Flk-1 may be used as a source of Flk-1, crude or purified, or in a membrane preparation, for testing in these assays. Alternatively, whole live or fixed cells may be used directly in those assays.

Flk-1 signal transduction activity may be measured by standard biochemical techniques or by monitoring the cellular processes controlled by the signal. To assess modulation of kinase activity, the test molecule is added to a reaction mixture containing Flk-1 and a substrate test. To assess modulation of kinase activity of the Flk-1 receptor, the test molecule is added to a reaction mixture containing the Flk-1 receptor. The kinase reaction is



then initiated with the addition of VEGF and ATP. An immunoassay is performed on the kinase reaction to detect the presence or absence of the phosphorylated tyrosine residues on the substrate or to detect  
5 phosphorylated tyrosine residues on autophosphorylated Flk-1, and results are compared to those obtained for controls i.e., reaction mixtures not exposed to the test molecule. The immunoassay used to detect the phosphorylated substrate in the cell lysate or the in  
10 vitro reaction mixture may be carried out with an anti-phosphotyrosine antibody.

The invention further provides for a method of screening compounds that, upon interacting with Flk-1, elicit or trigger a signal mimicking the action of  
15 VEGF binding to the Flk-1 receptor. Signal transduction is mimicked if the cellular processes under the control of the signalling pathway are affected in a way similar to that caused by ligand binding. Such compounds may be naturally occurring or  
20 synthetically produced molecules that activate the Flk-1 receptor.

The invention also includes a method whereby a molecule capable of binding to Flk-1 in a chemical or biological preparation may be identified comprising:  
25 (a) immobilizing Flk-1, or functional fragments thereof, to a solid phase matrix;  
(b) contacting the chemical or biological preparation with the solid phase matrix produced in step (a), for an interval  
30 sufficient to allow the compound to bind;  
(c) washing away any unbound material from the solid phase matrix;  
(d) detecting the presence of the compound bound to the solid phase,  
35 thereby identifying the compound.

The above method may further include the step of:

- (e) eluting the bound compound from the solid phase matrix, thereby isolating the compound.

5       The term "compound capable of binding to Flk-1" refers to a naturally occurring or synthetically produced molecule which interacts with Flk-1. Such a compound may directly or indirectly modulate Flk-1 signal transduction and may include molecules that are  
10   natively associated with the intracellular domain of Flk-1 inside a cell. Examples of such compounds are (i) a natural substrate of Flk-1; (ii) a naturally occurring molecule which is part of the signalling complex; and/or a naturally occurring signalling  
15   molecule produced by other cell types.

#### 5.3.3. ANTIBODY PRODUCTION AND SCREENING

Various procedures known in the art may be used for the production of antibodies to epitopes of the  
20   recombinantly produced Flk-1 receptor. Such antibodies include but are not limited to polyclonal, monoclonal, chimeric, single chain, Fab fragments and fragments produced by an Fab expression library. Neutralizing antibodies i.e., those which compete for  
25   the VEGF binding site of the receptor are especially preferred for diagnostics and therapeutics.

Monoclonal antibodies that bind Flk-1 may be radioactively labeled allowing one to follow their location and distribution in the body after injection.  
30   Radioactivity tagged antibodies may be used as a non-invasive diagnostic tool for imaging *de novo* vascularization associated with a number of diseases including rheumatoid arthritis, macular degeneration, and formation of tumors and metastases.

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Immunotoxins may also be designed which target cytotoxic agents to specific sites in the body. For example, high affinity Flk-1 specific monoclonal antibodies may be covalently complexed to bacterial or plant toxins, such as diphtheria toxin, abrin or ricin. A general method of preparation of antibody/hybrid molecules may involve use of thiol-crosslinking reagents such as SPDP, which attack the primary amino groups on the antibody and by disulfide exchange, attach the toxin to the antibody. The hybrid antibodies may be used to specifically eliminate Flk-1 expressing endothelial cells.

For the production of antibodies, various host animals may be immunized by injection with the Flk-1 protein including but not limited to rabbits, mice, rats, etc. Various adjuvants may be used to increase the immunological response, depending on the host species, including but not limited to Freund's (complete and incomplete), mineral gels such as aluminum hydroxide, surface active substances such as lysolecithin, pluronic polyols, polyanions, peptides, oil emulsions, keyhole limpet hemocyanin, dinitrophenol, and potentially useful human adjuvants such as BCG (bacille Calmette-Guerin) and Corynebacterium parvum.

Monoclonal antibodies to Flk-1 may be prepared by using any technique which provides for the production of antibody molecules by continuous cell lines in culture. These include but are not limited to the hybridoma technique originally described by Kohler and Milstein, (Nature, 1975, 256:495-497), the human B-cell hybridoma technique (Kosbor et al., 1983, Immunology Today, 4:72; Cote et al., 1983, Proc. Natl. Acad. Sci., 80:2026-2030) and the EBV-hybridoma technique (Cole et al., 1985, Monoclonal Antibodies and

Cancer Therapy, Alan R. Liss, Inc., pp. 77-96). In addition, techniques developed for the production of "chimeric antibodies" (Morrison et al., 1984, Proc. Natl. Acad. Sci., 81:6851-6855; Neuberger et al., 5 1984, Nature, 312:604-608; Takeda et al., 1985, Nature, 314:452-454) by splicing the genes from a mouse antibody molecule of appropriate antigen specificity together with genes from a human antibody molecule of appropriate biological activity can be 10 used. Alternatively, techniques described for the production of single chain antibodies (U.S. Patent 4,946,778) can be adapted to produce Flk-1-specific single chain antibodies.

Antibody fragments which contain specific binding 15 sites of Flk-1 may be generated by known techniques. For example, such fragments include but are not limited to: the F(ab')<sub>2</sub> fragments which can be produced by pepsin digestion of the antibody molecule and the Fab fragments which can be generated by reducing the 20 disulfide bridges of the F(ab')<sub>2</sub> fragments. Alternatively, Fab expression libraries may be constructed (Huse et al., 1989, Science, 246:1275-1281) to allow rapid and easy identification of monoclonal Fab fragments with the desired specificity to Flk-1.

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#### 5.4. USES OF Flk-1 CODING SEQUENCE

The Flk-1 coding sequence may be used for diagnostic purposes for detection of Flk-1 expression. Included in the scope of the invention are . 30 oligoribonucleotide sequences, that include antisense RNA and DNA molecules and ribozymes that function to inhibit translation of Flk-1. In addition, mutated forms of Flk-1, having a dominant negative effect, may be expressed in targeted cell populations to inhibit

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the activity of endogenously expressed wild-type Flk-1.

5.4.1. USE OF Flk-1 CODING SEQUENCE  
IN DIAGNOSTICS AND THERAPEUTICS

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The Flk-1 DNA may have a number of uses for the diagnosis of diseases resulting from aberrant expression of Flk-1. For example, the Flk-1 DNA sequence may be used in hybridization assays of  
10 biopsies or autopsies to diagnose abnormalities of Flk-1 expression; e.g., Southern or Northern analysis, including in situ hybridization assays.

The Flk-1 cDNA may be used as a probe to detect the expression of the Flk-1 mRNA. In a specific  
15 example described herein, the expression of Flk-1 mRNA in mouse embryos of different developmental stages was analyzed. Northern blot analysis indicated abundant expression of a major 5.5 kb mRNA between day 9.5 and day 18.5, with apparent decline towards the end of  
20 gestation (FIG. 2A). In post-natal day 4-8 brain capillaries Flk-1 mRNA was found to be highly enriched compared to total brain RNA (FIG.2B), suggesting a role for Flk-1 in endothelial cell proliferation.

To obtain more detailed information about the  
25 expression of Flk-1 during embryonic development and during the early stages of vascular development in situ hybridization experiments were performed as described in Section 6.1.4. In situ hybridizations demonstrated that Flk-1 expression *in vivo* during  
30 embryonic mouse development is largely restricted to endothelial cells and their precursors (FIGS. 3A, 3B, 3C and FIGS. 4A, 4B, 4C, 4D and 4E). Flk-1 is expressed in endothelial cells during physiological processes that are characterized by endothelial cell  
35 proliferation and the temporal and spatial expression

pattern found in the embryonic brain correlate precisely with the development of the neural vascular system as described by Bar (1980). Vascular sprouts originating in the perineural plexus grow radially  
5 into the neuroectoderm and branch there and these sprouts were found to express high amounts of Flk-1 mRNA (FIGS. 5A, 5B, 5C and 5D). In the early postnatal stages, endothelial cell proliferation is still evident and Flk-1 is expressed, whereas in the  
10 adult organism, after completion of the vascularization process, the decline in endothelial cell proliferation parallels a decrease in Flk-1 expression.

Also within the scope of the invention are oligo-  
15 ribonucleotide sequences, that include anti-sense RNA and DNA molecules and ribozymes that function to inhibit the translation of Flk-1 mRNA. Anti-sense RNA and DNA molecules act to directly block the translation of mRNA by binding to targeted mRNA and  
20 preventing protein translation. In regard to antisense DNA, oligodeoxyribonucleotides derived from the translation initiation site, e.g., between -10 and +10 regions of the Flk-1 nucleotide sequence, are preferred.

25 Ribozymes are enzymatic RNA molecules capable of catalyzing the specific cleavage of RNA. The mechanism of ribozyme action involves sequence specific hybridization of the ribozyme molecule to complementary target RNA, followed by a endonucleolytic cleavage.  
30 age. Within the scope of the invention are engineered hammerhead motif ribozyme molecules that specifically and efficiently catalyze endonucleolytic cleavage of Flk-1 RNA sequences.

Specific ribozyme cleavage sites within any  
35 potential RNA target are initially identified by

scanning the target molecule for ribozyme cleavage sites which include the following sequences, GUA, GUU and GUC. Once identified, short RNA sequences of between 15 and 20 ribonucleotides corresponding to the region of the target gene containing the cleavage site may be evaluated for predicted structural features such as secondary structure that may render the oligonucleotide sequence unsuitable. The suitability of candidate targets may also be evaluated by testing their accessibility to hybridization with complementary oligonucleotides, using ribonuclease protection assays.

Both anti-sense RNA and DNA molecules and ribozymes of the invention may be prepared by any method known in the art for the synthesis of RNA molecules. These include techniques for chemically synthesizing oligodeoxyribonucleotides well known in the art such as for example solid phase phosphoramidite chemical synthesis. Alternatively, RNA molecules may be generated by *in vitro* and *in vivo* transcription of DNA sequences encoding the antisense RNA molecule. Such DNA sequences may be incorporated into a wide variety of vectors which incorporate suitable RNA polymerase promoters such as the T7 or SP6 polymerase promoters. Alternatively, antisense cDNA constructs that synthesize antisense RNA constitutively or inducibly, depending on the promoter used, can be introduced stably into cell lines.

Various modifications to the DNA molecules may be introduced as a means of increasing intracellular stability and half-life. Possible modifications include but are not limited to the addition of flanking sequences of ribo- or deoxy- nucleotides to the 5' and/or 3' ends of the molecule or the use of phosphorothioate or 2' O-methyl rather than phospho-

diesterase linkages within the oligodeoxyribonucleotide backbone.

5.4.2. USE OF DOMINANT NEGATIVE  
Flk-1 MUTANTS IN GENE THERAPY

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Receptor dimerization induced by ligands, is thought to provide an allosteric regulatory signal that functions to couple ligand binding to stimulation of kinase activity. Defective receptors can function  
10 as dominant negative mutations by suppressing the activation and response of normal receptors through formation of heterodimers with wild type receptors wherein such heterodimers are signalling incompetent. Defective receptors can be engineered into recombinant  
15 viral vectors and used in gene therapy in individuals that inappropriately express Flk-1.

The capability of Flk-1 TM to form signalling incompetent heterodimers with the 180 kD wild type Flk-1 is demonstrated in Section 6.1.12. The  
20 dominant-negative potential of Flk-1 TM used in gene therapy may be measured by examining its influence on the Flk-1/ VEGF mitogenic response or by measurement of suppression of Flk-1 transforming activity.

In an embodiment of the invention, mutant forms  
25 of the Flk-1 molecule having a dominant negative effect may be identified by expression in selected cells. Deletion or missense mutants of Flk-1 that retain the ability to form dimers with wild type Flk-1 protein but cannot function in signal transduction may  
30 be used to inhibit the biological activity of the endogenous wild type Flk-1. For example, the cytoplasmic kinase domain of Flk-1 may be deleted resulting in a truncated Flk-1 molecule that is still able to undergo dimerization with endogenous wild type  
35 receptors but unable to transduce a signal.



Abnormal proliferation of blood vessels is an important component of a variety of pathogenic disorders such as rheumatoid arthritis, retinopathies and psoriasis. Uncontrolled angiogenesis is also an  
5 important factor in the growth and metastases of solid tumors. Recombinant viruses may be engineered to express dominant negative forms of Flk-1 which may be used to inhibit the activity of the wild type endogenous Flk-1. These viruses may be used  
10 therapeutically for treatment of diseases resulting from aberrant expression or activity of Flk-1.

Expression vectors derived from viruses such as retroviruses, vaccinia virus, adeno-associated virus, herpes viruses, or bovine papilloma virus, may be used  
15 for delivery of recombinant Flk-1 into the targeted cell population. Methods which are well known to those skilled in the art can be used to construct recombinant viral vectors containing Flk-1 coding sequence. See, for example, the techniques described  
20 in Maniatis et al., 1989, Molecular Cloning A Laboratory Manual, Cold Spring Harbor Laboratory, N.Y. and Ausubel et al., 1989, Current Protocols in Molecular Biology, Greene Publishing Associates and Wiley Interscience, N.Y. Alternatively, recombinant  
25 Flk-1 molecules can be reconstituted into liposomes for delivery to target cells.

In a specific embodiment of the invention, a deletion mutant of the Flk-1 receptor was engineered into a recombinant retroviral vector. Two clonal  
30 isolates of Flk-1 TM, designated pLXSN Flk-1 TM cl.1 and pLXSN Flk-1 TM cl.3, contain a truncated Flk-1 receptor containing Flk-1 amino acids 1 through 806 but lacking the 561 COOH-terminal amino acids of the intracellular kinase domain. These isolates retain  
35 transmembrane domain sequences and 23 residues of the

cytoplasmic domain. To obtain virus producing cell lines, PA37 cells were transfected with the recombinant vectors and, subsequently, conditioned media containing virus were used to infect GPE cells.

5 To test whether expression of signaling-defective mutants inhibits endogenous Flk-1 receptor activity, C6 rat glioblastoma cells (tumor cells) and mouse cells producing the recombinant retroviruses were mixed and injected subcutaneously into nude mice. Normally,  
10 injection of tumor cells into nude mice would result in proliferation of the tumor cells and vascularization of the resulting tumor mass. Since Flk-1 is believed to be essential for formation of blood vessels, blocking Flk-1 activity by expression  
15 of a truncated receptor, might function to inhibit vascularization of the developing tumor and, thereby, inhibit its growth. As illustrated in Figures 13 and 14, coinjection of virus producing cells, expressing a truncated Flk-1 receptor, significantly inhibits the  
20 growth of the tumor as compared to controls receiving only tumor cells.

As illustrated in Figure 15, a similar inhibitory effect on C6 glioma tumor growth was also observed when truncated Flk-1 receptor virus particle-  
25 containing producer cells were injected five days after implantation of  $10^6$  tumor cells, indicating that even established tumors may be suppressed by Flk-1 dominant-negative action. For glioblastoma, a tumor with generally poor prognosis and resistance to all  
30 available therapies, retrovirus-mediated gene therapy may be advantageous, since non-mitotic brain tissues such as neurons, glia and quiescent endothelial cells would not be infected. Glioblastoma multiforme is the most common and most malignant tumor of astrocytic  
35 origin in human adults and accounts for more than half

of all primary brain tumors (See, for example, Cecil Textbook of Medicine, Wyngaarden, Smith, Bennett (eds) WB Saunders, p.2220 (1992)).

5           5.5. USE OF Flk-1 RECEPTOR OR LIGANDS

Receptor/ligand interaction between Flk-1 and VEGF is believed to play an important role in the signalling system during vascularization and angiogenesis. Abnormal proliferation of blood vessels  
10 is an important component of a number of diseases.

Expression of Flk-1 RNA correlates with the development of the brain and with endothelial cell proliferation suggesting that Flk-1 might be a receptor involved in mediation of signaling events in  
15 the neural vascularization process. VEGF has been shown to be a mitogenic growth factor known to act exclusively on endothelial cells (Ferrara, N. and Henzel, W.J., 1989, Biochem. Biophys. Res. Comm. 161:851-858). Cross-linking and ligand binding  
20 experiments were performed, as described in Sections 6.1.9 and 6.1.10 respectively, to determine whether VEGF is a ligand for Flk-1. The results indicate that Flk-1 is an authentic high affinity VEGF receptor (FIGS. 9A and 9B).

25           In one embodiment of the invention, ligands for Flk-1, the Flk-1 receptor itself, or a fragment containing its VEGF binding site, could be administered in vivo to modulate angiogenesis and/or vasculogenesis. For example, administration of the  
30 Flk-1 receptor or a fragment containing the VEGF binding site, could competitively bind to VEGF and inhibit its interaction with the native Flk-1 receptor in vivo to inhibit angiogenesis and/or vasculogenesis. Alternatively, ligands for Flk-1, including anti-Flk-1  
35 antibodies or fragments thereof, may be used to

modulate angiogenesis and/or vasculogenesis. Agonists of VEGF activity may be used to promote wound healing whereas antagonists of VEGF activity may be used to inhibit tumor growth.

5       The particular peptides, proteins, organic compounds or antibodies that modulate Flk-1 receptor signal transduction can be administered to a patient either by itself, or in pharmaceutical compositions where it is mixed with suitable carriers or  
10   excipient(s).

          Depending on the specific conditions being treated, these agents may be formulated and administered systemically or locally. Techniques for formulation and administration may be found in  
15   "Remington's Pharmaceutical Sciences," Mack Publishing Co., Easton, PA, latest edition. Suitable routes may, for example, include oral, rectal, transmucosal, or intestinal administration; parenteral delivery, including intramuscular, subcutaneous, intramedullary  
20   injections, as well as intrathecal, direct intraventricular, intravenous, intraperitoneal, intranasal, or intraocular injections, or, in the case of solid tumors, directly injected into a solid tumor. For injection, the agents of the invention may be  
25   formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hanks's solution, Ringer's solution, or physiological saline buffer. For transmucosal administration, penetrants appropriate to the barrier to be permeated are used in  
30   the formulation. Such penetrants are generally known in the art.

          The compounds can be formulated readily using pharmaceutically acceptable carriers well known in the art into dosages suitable for oral administration.  
35   Such carriers enable the compounds of the invention to

be formulated as tablets, pills, capsules, liquids, gels, syrups, slurries, suspensions and the like, for oral ingestion by a patient to be treated.

Pharmaceutical compositions suitable for use in  
5 the present invention include compositions wherein the active ingredients are contained in an effective amount to achieve its intended purpose. Determination of the effective amounts is well within the capability of those skilled in the art, especially in light of  
10 the detailed disclosure provided herein.

In addition to the active ingredients these pharmaceutical compositions may contain suitable pharmaceutically acceptable carriers comprising excipients and auxiliaries which facilitate processing  
15 of the active compounds into preparations which can be used pharmaceutically. The preparations formulated for oral administration may be in the form of tablets, dragees, capsules, or solutions.

The pharmaceutical compositions of the present  
20 invention may be manufactured in a manner that is itself known, e.g., by means of conventional mixing, dissolving, granulating, dragee-making, levigating, emulsifying, encapsulating, entrapping or lyophilizing processes.

Pharmaceutical formulations for parenteral  
25 administration include aqueous solutions of the active compounds in water-soluble form. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions. Suitable  
30 lipophilic solvents or vehicles include fatty oils such as sesame oil, or synthetic fatty acid esters, such as ethyl oleate or triglycerides, or liposomes. Aqueous injection suspensions may contain substances which increase the viscosity of the suspension, such  
35 as sodium carboxymethyl cellulose, sorbitol, or

dextran. Optionally, the suspension may also contain suitable stabilizers or agents which increase the solubility of the compounds to allow for the preparation of highly concentrated solutions.

5        Pharmaceutical preparations for oral use can be obtained by combining the active compounds with solid excipient, optionally grinding a resulting mixture, and processing the mixture of granules, after adding suitable auxiliaries, if desired, to obtain tablets or  
10 dragee cores. Suitable excipients are, in particular, fillers such as sugars, including lactose, sucrose, mannitol, or sorbitol; cellulose preparations such as, for example, maize starch, wheat starch, rice starch, potato starch, gelatin, gum tragacanth, methyl  
15 cellulose, hydroxypropylmethyl-cellulose, sodium carboxymethylcellulose, and/or polyvinylpyrrolidone (PVP). If desired, disintegrating agents may be added, such as the cross-linked polyvinyl pyrrolidone, agar, or alginic acid or a salt thereof such as sodium  
20 alginate.

Dragee cores are provided with suitable coatings. For this purpose, concentrated sugar solutions may be used, which may optionally contain gum arabic, talc, polyvinyl pyrrolidone, carbopol gel, polyethylene  
25 glycol, and/or titanium dioxide, lacquer solutions, and suitable organic solvents or solvent mixtures. Dyestuffs or pigments may be added to the tablets or dragee coatings for identification or to characterize different combinations of active compound doses.

30        Pharmaceutical preparations which can be used orally include push-fit capsules made of gelatin, as well as soft, sealed capsules made of gelatin and a plasticizer, such as glycerol or sorbitol. The push-fit capsules can contain the active ingredients  
35 in admixture with filler such as lactose, binders such

as starches, and/or lubricants such as talc or magnesium stearate and, optionally, stabilizers. In soft capsules, the active compounds may be dissolved or suspended in suitable liquids, such as fatty oils, 5 liquid paraffin, or liquid polyethylene glycols. In addition, stabilizers may be added.

Compositions comprising a compound of the invention formulated in a compatible pharmaceutical carrier may be prepared, placed in an appropriate 10 container, and labelled for treatment of an indicated condition. Suitable conditions indicated on the label may include treatment of a tumor, such as a glioma or glioblastoma; and inhibition of angiogenesis.

A preferred pharmaceutical carrier for 15 hydrophobic compounds of the invention is a cosolvent system comprising benzyl alcohol, a nonpolar surfactant, a water-miscible organic polymer, and an aqueous phase. A preferred cosolvent system is the VPD co-solvent system. VPD is a solution of 3% w/v 20 benzyl alcohol, 8% w/v of the nonpolar surfactant polysorbate 80, and 65% w/v polyethylene glycol 300, made up to volume in absolute ethanol. The VPD co-solvent system (VPD:5W) consists of VPD diluted 1:1 with a 5% dextrose in water solution. This co-solvent 25 system dissolves hydrophobic compounds well, and itself produces low toxicity upon systemic administration. Naturally, the proportions of a co-solvent system may be varied considerably without destroying its solubility and toxicity 30 characteristics. Furthermore, the identity of the co-solvent components may be varied: for example, other low-toxicity nonpolar surfactants may be used instead of polysorbate 80; the fraction size of polyethylene glycol may be varied; other biocompatible polymers may 35 replace polyethylene glycol, e.g. polyvinyl

pyrrolidone; and other sugars or polysaccharides may substitute for dextrose.

Alternatively, other delivery systems for hydrophobic pharmaceutical compounds may be employed.

- 5 Liposomes and emulsions are well known examples of delivery vehicles or carriers for hydrophobic drugs. Certain organic solvents such as DMSO also may be employed, although usually at the cost of greater toxicity.
- 10 The pharmaceutical compositions also may comprise suitable solid or gel phase carriers or excipients. Examples of such carriers or excipients include but are not limited to calcium carbonate, calcium phosphate, various sugars, starches, cellulose
- 15 derivatives, gelatin, and polymers such as polyethylene glycols.

Many of the Flk-1 receptor modulating compounds of the invention may be provided as salts with pharmaceutically compatible counterions.

- 20 Pharmaceutically compatible salts may be formed with many acids, including but not limited to hydrochloric, sulfuric, acetic, lactic, tartaric, malic, succinic, etc. Salts tend to be more soluble in aqueous or other protonic solvents that are the corresponding
- 25 free base forms.

- For any compound used in the method of the invention, the therapeutically effective dose can be estimated initially from cell culture assays. For example, a dose can be formulated in animal models to
- 30 achieve a circulating concentration range that includes the IC<sub>50</sub> as determined in cell culture (i.e., the concentration of the test compound which achieves a half-maximal inhibition of the PTP activity). Such information can be used to more accurately determine
- 35 useful doses in humans.



A therapeutically effective dose refers to that amount of the compound that results in amelioration of symptoms or a prolongation of survival in a patient. Toxicity and therapeutic efficacy of such compounds can be determined by standard pharmaceutical procedures in cell cultures or experimental animals, e.g., for determining the LD50 (the dose lethal to 50% of the population) and the ED50 (the dose therapeutically effective in 50% of the population). The dose ratio between toxic and therapeutic effects is the therapeutic index and it can be expressed as the ratio LD50/ED50. Compounds which exhibit large therapeutic indices are preferred. The data obtained from these cell culture assays and animal studies can be used in formulating a range of dosage for use in human. The dosage of such compounds lies preferably within a range of circulating concentrations that include the ED50 with little or no toxicity. The dosage may vary within this range depending upon the dosage form employed and the route of administration utilized. The exact formulation, route of administration and dosage can be chosen by the individual physician in view of the patient's condition. (See e.g. Fingl et al., 1975, in "The Pharmacological Basis of Therapeutics", Ch. 1 p1).

Dosage amount and interval may be adjusted individually to provide plasma levels of the active moiety which are sufficient to maintain the Flk-1 receptor-inhibitory effects. Usual patient dosages for systemic administration range from 1 - 2000 mg/day, commonly from 1 - 250 mg/day, and typically from 10 -150 mg/day. Stated in terms of patient body weight, usual dosages range from 0.02 - 25 mg/kg/day, commonly from 0.02 - 3 mg/kg/day, typically from 0.2 - 1.5 mg/kg/day. Stated in terms of patient body

surface areas, usual dosages range from 0.5 - 1200 mg/m<sup>2</sup>/day, commonly from 0.5 - 150 mg/m<sup>2</sup>/day, typically from 5 - 100 mg/m<sup>2</sup>/day.

Dosage amount and interval may be adjusted  
5 individually to provide plasma levels of the active moiety which are sufficient to maintain the Flk-1 receptor-inhibitory effects. Usual average plasma levels should be maintained within 50 - 5000 µg/ml, commonly 50 - 1000 µg/ml, and typically 100 - 500  
10 µg/ml

Alternately, one may administer the compound in a local rather than systemic manner, for example, via injection of the compound directly into a tumor, often in a depot or sustained release formulation.

15 Furthermore, one may administer the drug in a targeted drug delivery system, for example, in a liposome coated with tumor-specific antibody. The liposomes will be targeted to and taken up selectively by the tumor.

20 In cases of local administration or selective uptake, the effective local concentration of the drug may not be related to plasma concentration.

6. EXAMPLE: CLONING AND EXPRESSION PATTERNS  
25 OF Flk-1, A HIGH AFFINITY RECEPTOR FOR VEGF

The subsection below describes the cloning and characterization of the Flk-1 cDNA clone. Northern blot and *in situ* hybridization analyses indicate that Flk-1 is expressed in endothelial cells. Cross-  
30 linking and ligand binding experiments further indicate that Flk-1 is a high affinity receptor for VEGF.

## 6.1. MATERIALS AND METHODS

### 6.1.1. cDNA CLONING OF Flk-1

DNA extracted from  $\lambda$ gt10 cDNA library of day 8.5 mouse embryos (Fahrner et al., 1987, EMBO. J. 6:1497-1508) was used as template for polymerase chain reaction (PCR; Saiki, R.K. et al., 1985 Science 230:1350-1354). In an independent approach cDNA of capillary endothelial cells that had been isolated from the brain of postnatal day 4-8 mice was used for amplification (Risau, W., 1990 In: development of the Vascular System. Issues Biomed. Basel Karger 58-68 and Schnürch et al., unpublished) Degenerated primers were designed on the basis of high amino acid homologies within the kinase domain shared by all RTKs (Wilks, A.F., 1989, Proc. Natl. Acad. Sci. U.S.A. 86:1603-1607).

Full length cDNA clones of Flk-1 were isolated from another day 8.5 mouse embryo cDNA library, which had been prepared according to the method of Okayama and Berg (1983), and a day 11.5 mouse embryo  $\lambda$ gt11 library (Clontech) using the  $^{32}\text{P}$ -labeled (Feinberg, A.P. and Vogelstein, B. 1983 Anal. Biochem. 132:6-13) 210-bp PCR fragment.

### 6.1.2. MOUSE EMBRYOS

Balb/c mice were mated overnight and the morning of vaginal plug detection was defined as 1/2 day of gestation. For Northern blot analysis the frozen embryos were homogenized in 5 M guanidinium thiocyanate and RNA was isolated as described (Ullrich, A. et al., 1985, Nature 313:756-761). For *in situ* hybridization, the embryos were embedded in Tissue-Tek (Miles), frozen on the surface of liquid nitrogen and stored at -70C prior to use.

### 6.1.3. PREPARATION OF PROBES

The 5'-located 2619 bp of the receptor cDNA were subcloned in the pGem3Z vector (Promega) as an EcoRI/BamHI fragment. The probe for Northern blot hybridization was prepared by labelling the cDNA fragment with  $\alpha$ -<sup>32</sup>PdATP (Amersham) by random hexanucleotide priming (Boehringer; Feinberg, A.P. and Vogelstein, B., 1983 Anal. Biochem. 132:6-13).

For *in situ* hybridization a single-strand antisense DNA probe was prepared as described by Schnürch and Risau (Development, 1991 111:1143-54). The plasmid was linearized at the 3' end of the cDNA and a sense transcript was synthesized using SP6 RNA polymerase (Boehringer). The DNA was degraded using DNAase (RNAase free preparation, Boehringer Mannheim). With the transcript, a random-primed cDNA synthesis with a  $\alpha$ -<sup>35</sup>S dATP (Amersham) was performed by reverse transcription with MMLV reverse transcriptase (BRL). To obtain small cDNA fragments of about 100 bp in average suitable for *in situ* hybridization, a high excess of primer was used. Subsequently the RNA transcript was partially hydrolyzed in 100 mM NaOH for 20 minutes at 70°C, and the probe was neutralized with the same amount of HCl and purified with a Sephadex C50 column. After ethanol precipitation the probe was dissolved at a final specific activity of  $5 \times 10^5$  cpm. For control hybridization a sense probe was prepared with the same method.

### 6.1.4. RNA EXTRACTION AND NORTHERN ANALYSIS

Total cytoplasmic RNA was isolated according to the acidic phenol-method of Chromczynski and Sacchi (1987). Poly(A<sup>+</sup>) RNA aliquots were electrophoresed in 1.2% agarose formaldehyde (Sambrook, J. et al., 1989 Molecular Cloning: A Laboratory Manual 2nd ed. Cold

Spring Harbor Laboratory Press) gels and transferred to nitrocellulose membranes (Schleicher & Schuell), Hybridizations were performed overnight in 50% formamide, 5 x SSC (750mM sodium chloride, 75mM sodium citrate), 5 x Denhardt's (0.1% Ficoll 400, 0.1% polyvinylpyrrolidone, 0.1% BSA) and -0.5% SDS at 42°C with 1-3x10<sup>6</sup> cpm-ml<sup>-1</sup> of <sup>32</sup>P-Random primed DNA probe, followed by high stringency washes in 0.2 x SSC, 0.5% SDS at 52°C. The filters were exposed for 4 to 8 days.

#### 6.1.5. IN SITU HYBRIDIZATION

Subcloning postfixation and hybridization was essentially performed according to Hogan et al. (1986). 10 µm thick sections were cut at -18°C on a Leitz cryostat. For prehybridization treatment no incubation with 0.2M HCl for removing the basic proteins was performed. Sections were incubated with the <sup>35</sup>S-cDNA probe (5x10<sup>4</sup>cpm/µl) at 52°C in a buffer containing 50% formamide, 300 mM NaCl, 10 mM Tris-HCl, 10 mM NaPO<sub>4</sub> (pH 6.8), 5 mM EDTA, 0.02% Ficoll 400, 0.01% polyvinylpyrrolidone, 0.02% BSA, 10 m /ml yeast RNA, 10% dextran sulfate, and 10 mM NaCl, 10 mM Tris-HCl, 10 mM NaPO<sub>4</sub> (pH 6.8), 5 mM EDTA, 10 Mm DTT at 52°C). For autoradiography, slides were coated with Kodak NTB2 film emulsion and exposed for eight days. After developing, the sections were counterstained and toluidine blue or May-Grinwald.

#### 6.1.6. PREPARATION OF ANTISERA

The 3' primed EcoRV/HindIII fragment comprising the 128 C-terminal amino acids of Flk-1 was subcloned in the fusion protein expression vector pGEX3X (Smith, D.B. and Johnson, K.S., 1990 Gene. 67:31-40; Pharmacia). The fusion protein was purified as

described and used for immunizing rabbits. After the second boost the rabbits were bled and the antiserum was used for immunoprecipitation.

5                    6.1.7.    TRANSIENT EXPRESSION  
                              OF Flk-1 IN COS-1 CELLS

Transfection of COS-1 cells was performed essentially as described by Chen and Okayama (1987 Mol. Cell. Biol. 7:2745-2752) and Gorman et al. (1989  
10 Virology 171:377-385). Briefly, cells were seeded to a density of  $1.0 \times 10^6$  per 10-cm dish and incubated overnight in DMEM containing 10% fetal calf serum (Gibco). 20  $\mu$ g of receptor cDNA cloned into a  
15 mixed in 0.5 ml of 0.25 M  $\text{CaCl}_2$ , 0.5 ml of 2 x BBS (280 mM NaCl, 1.5 mM  $\text{Na}_2\text{HPO}_4$ , 50 mM BES, pH 6.96 and incubated for 30 min at room temperature. The calcium phosphate/DNA solution was then added to the cells, swirled gently, and incubated for 18 hours at 37°C  
20 under 3%  $\text{CO}_2$ . For ligand binding experiments, the cells were removed from the plate and treated as described below.

To obtain VEGF conditioned media, cells were transfected in 15-cm dishes. Media was collected  
25 after 48 h and VEGF was partially purified by affinity chromatography using heparin High Trap TM columns (Pharmacia) and concentrated by ultrafiltration (Ferrara, N. and Henzel, W.J. 1989 Biochem. Biophys. Res. Comm. 161:851-858). The concentration of VEGF  
30 was determined by a ligand competition assay with bovine aortic endothelial cells.

For autophosphorylation assays, cells were seeded in 6-well dishes ( $2 \times 10^5$  cells per well), transfected as described above, and starved for 24 h in DMEM contain-  
35 ing 0.5% fetal calf serum. The cells were then

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treated with 500 pM VEGF for 10 min. at 37°C or left untreated and were subsequently lysed as described by Kris et al. (1985). Flk-1 was immunoprecipitated with an antiserum raised in rabbits against the C-terminus  
5 of the receptor. The immunoprecipitates were separated on a 7.5% SDS polyacrylamide gel, transferred to nitrocellulose, and incubated with a mouse monoclonal antibody directed against phosphotyrosine (5E2; Fendly, B.M. et al., 1990 Cancer Research 50:1550-  
10 1558). Protein bands were visualized using horseradish peroxidase coupled goat anti-mouse antibody and the ECL™ (Amersham) detection system.

#### 6.1.8. RADIOIODINATION OF VEGF

15 Recombinant human VEGF (5 µg; generously provided by Dr. H. Weich) was dissolved in 110 µl sodium phosphate buffer pH 7.6, and iodinated by the procedure of Hunter and Greenwood (1962). The reaction products were separated from the labeled protein by passage  
20 over a sephadex G50 column, pre-equilibrated with phosphate buffered saline (PBS) containing 0.7% bovine serum albumin (BSA), and aliquots of the collected fractions were counted before and after precipitation with 20% trichloroacetic acid. The purity of the  
25 iodinated product was estimated to be superior to 90%, as determined by gel electrophoresis, and the specific activity was 77000 cpm/ng. The bioactivity of the iodinated VEGF was confirmed by comparison with the bioactivities of native VEGF using the tissue factor  
30 introduction assay described by Clauss, M. et al. (1990 J. Exp. Med. 172:1535-1545).

#### 6.1.9. CROSSLINKING OF VEGF TO Flk-1

COS-1 cells transiently expressing Flk-1 and  
35 untransfected COS-1 cells were incubated with 200 pM

<sup>125</sup>I-VEGF at 4°C overnight, then washed twice with PBS and exposed to 0.5 mM disuccinimidyl suberate (DSS) in PBS for 1 h at 4°C. The cells were lysed, Flk-1 immunoprecipitated, and analyzed by electrophoresis on a 7% polyacrylamide gel followed by autoradiography.

#### 6.1.10. VEGF BINDING

Ligand binding experiments were performed as described previously (Schumacher, R. et al., 1991, J. Biol. Chem. 266:19288-19295), COS-1 cells were grown in a 15-cm culture dish in DMEM for 48h after transfection. Cells were then washed carefully with PBS and incubated with 5 ml of 25 mM EDTA in PBS for 10 min. Cells were then removed from the plate, washed once with binding buffer (DMEM, 25 mM HEPES, pH 7.5, 0.15% gelatin) and resuspended in 5 ml of binding buffer to determine the cell number. In a total volume of 500 µl this cell suspension was incubated for 90 min at 15°C with 10 pM <sup>125</sup>I-VEGF, and increasing concentration of unlabeled ligand (from 0 to 7 x 10<sup>-9</sup>), which was partially purified from conditioned media of COS-1 cells transiently expressing VEGF (164 amino acid form; Breier et al., Development vol. 114 (2) pp. 521-532 (1992). Leung et al., (Science vol. 246 pp. 1306-9 (1989) disclose cDNA clones for bovine and human VEGF). After incubation, cells were washed with PBS 0.1% PBS in the cold. Free ligand was removed by repeated centrifugation and resuspension in binding buffer. Finally, the <sup>125</sup>I radioactivity bound to the cells were determined in a gamma counter (Riastar). Data obtained were analyzed by the method of Munson, P.J. and Rodbard, D. (1980 Anal. Biochem. 107:220-235).



6.1.11. RETROVIRAL VECTORS ENCODING  
TRANSDOMINANT-NEGATIVE MUTANTS  
OF Flk-1

Recombinant retroviral vectors were constructed  
5 that contained the coding region for amino acids 1  
through 806 of the Flk-1 receptor (pLX Flk-1 TM cl.1  
and pLX Flk-1 TM cl.3, Figure 12A). A recombinant  
virus containing the 541 N-terminal amino acids of the  
CSF-1 receptor/c-fms (pNTK cfms TM cl.7, Figure 12B)  
10 was used as a control.

pLXSN Flk-1 TM was obtained by ligating the 5'-  
located 2619 bp of the Flk-1 cDNA encoding amino acids  
1 to 806 as a ClaI/BamHI fragment to a BglII/HpaI  
linker, thereby designing a stop-codon 23 amino acids  
15 following the transmembrane region (5' GTC ATG GAT CTT  
CGT TAA 3'). In a second step, the ClaI/HpaI fragment  
was subcloned into the ClaI/HpaI site of the pLXSN  
vector. Stable GP+E-86 cell lines producing ecotropic  
retroviruses expressing the wild type and mutated  
20 receptor constructs were generated as described by  
Redemann et al. (Mol. Cell Biol. vol 12, p. 491-498  
(1992)).

For generation of pNTK c-fms TM, a stop codon was  
introduced behind amino acids 541 downstream from the  
25 transmembrane region of the c-fms cDNA using the  
oligonucleotide 5' TTG TAC AAG TAT AAG TAG TAG CCC AGG  
TAC CAG 3'. The mutated receptor was subcloned in the  
retroviral expression vector pNTK2 (Stewart et al.,  
EMBO J., 6, 383-388 (1987). Stable GP+E-86 cell lines  
30 were obtained as described above.

6.1.12. THE CAPABILITY OF FLK-1 TM TO FORM  
SIGNALLING-INCOMPETENT HETERODIMERS

The capability of Flk-1 TM to form signalling  
35 incompetent heterodimers with the 180 kD wild type  
Flk-1 was demonstrated by coprecipitation of the

truncated 130 kD receptor mutant with an antibody against the C-terminus of the intact receptor from lysates of COS cells transiently expressing both forms. Since the antibody could not recognize Flk-1 TM, coprecipitation was a consequence of heterodimerization.

To test the capability of Flk-1 TM to form signalling incompetent heterodimers with the wild-type Flk-1 *in vivo*, C6 glioblastoma tumor cells, available from the ATCC, accession number CCL 107, were implanted into nude mice either alone or coimplanted with virus producing cells. Injected cell numbers for the two sets of experiments are indicated below. Beginning at the time when the first tumors appeared, tumor volumes were measured every 2 to 3 days to obtain a growth curve. The results are discussed in Section 6.2.6 and shown in Figures 12 and 13.

#### Experiment No. 1

Number of Mice	Number of C6 Cells	Virus-Producer Cell Line	Number of Virus-Cells
4	$5 \times 10^5$	pLXSN Flk-1 TM cl.3	$1 \times 10^7$
4	$5 \times 10^5$	None	0
4	$5 \times 10^5$	pNTK cfms TM cl.7	$5 \times 10^6$

#### Experiment No. 2

Number of Mice	Number of C6 Cells	Virus-Producer Cell Line	Number of Virus-Cells
4	$2 \times 10^6$	pLXSN Flk-1 TM cl.1	$2 \times 10^7$
4	$2 \times 10^6$	pLXSN Flk-1 TM cl.3	$2 \times 10^7$
4	$2 \times 10^6$	None	0
4	$2 \times 10^6$	pNTK cfms TM cl.7	$2 \times 10^7$

In another experiment, the same experimental conditions were performed except that the virus producing cells were injected five days after implantation of  $10^6$  tumor cells.

5 In another experiment, co-implantation of C6 glioblastoma cells was with different relative amounts of retroviral cells producing comparable titers ( $1 \times 10^6$  cfu/ml) of recombinant retrovirus. The effect of the inhibition of tumor growth was dose-dependent, with  
10 maximum achieved when the virus-producing cells were in 20-fold excess over the tumor cells. To confirm that the inhibition of the C6 glioblastoma growth was caused by dominant-negative action of the retrovirally expressed constructs on endothelial cells, the tumors  
15 were resected and analyzed. Comparison of the whole mount specimens revealed striking differences: whereas the control tumors exhibited a reddish surface, as expected for well-vascularized tissue, the inhibited cell implants were very pale. Histological  
20 staining of frozed sections revealed that the control tumors consisted of a homogenous mass of viable cells. Only very few and small necroses could be detected. In contrast, the much smaller, growth-inhibited tumor cell implants had an onion-like histological  
25 appearance, which was characterized by different tissue layers: a large, central necrosis was surrounded by a dense layer of viable tumor cells. Invasion of this tumor had not progressed, as evidenced by the presence of natural structures of the  
30 skin, such as the muscular cell layer.

The distribution of capillaries and blood vessels in the tissue specimens was determined by incubating frozen tissue sections with a rat monoclonal antibody specific for the endothelial cell adhesion antigen  
35 PECAM (De Vries et al., Science vol. 255, pp. 989-991

(1992)). While the tumors coimplanted with the control virus-producing cells displayed the pattern of capillaries and vessels expected for well-vascularized tissue, the growth-inhibited tumor cell implant

5 exhibited a large central tumor cell necrosis, which was surrounded by a layer of viable tumor cells lacking blood vessels or capillaries. The tumor cells in this layer showed a higher cell density than the control tumor suggesting a significant reduction in

10 tumor-induced edema formation. Since VEGF appears to induce vascular permeability in vivo, and was therefore also designated vascular permeability factor, inhibition of VEGF/Flk-1 interaction may inhibit tumor associated edema formation.

15 Flk-1 expression in proliferating endothelial cells of the tumor was confirmed by *in situ* hybridization of tissue sections with a <sup>35</sup>S-labeled Flk-1 specific antisense cDNA probe and displayed the same distribution as immunostaining with endothelial

20 cell-specific antibodies, indicating that proliferating endothelial cells expressed Flk-1. *In situ* hybridization with a neomycin resistance gene (neo<sup>r</sup>) antisense probe confirmed the presence of retroviral sequences. The entire Flk-1 dominant-

25 negative-inhibited tumor consisting of the retrovirus-producing and infected cells was neo<sup>r</sup>-positive, a result that exactly matched that obtained with a Flk-1 specific probe. The morphology of tumors that had been coimplanted with control virus-producing cell was

30 very similar, but the virus-producing cells were extensively infiltrated by infected tumor cells. In these tumors, which contained many capillaries and blood vessels, neo<sup>r</sup>-positive signals were also found in endothelial cells.

35

C6 gliomas exhibit morphological characteristics of human glioblastoma multiforme such as necroses with palisading cells, a high degree of vascularization, and a similar expression pattern of VEGF and its  
5 receptors making this model an excellent tool to study anti-angiogenic therapy (Plate et al., Cancer Research vol. 53, pp. 5822-5827 (1993)).

6.1.13. INTRACEREBRAL GRAFTING  
OF GLIOMA CELLS

10 To test the capability of Flk-1 TM to form signalling incompetent heterodimers with the wild type Flk-1 *in vivo*, C6 glioma cells were transplanted intracranially into syngeneic rats with co-injection of a retrovirus-producing cell line.

15 To transplant glioma cells intracranially into rats, the rats (bodyweight 160-180 g) were anesthetized by *i.p.* injection of 100 mg/kg Ketamin (Ketaset<sup>R</sup>) plus 5 mg/kg (Xylazine (Rompun<sup>R</sup>)). The dosage is dependent on the rat strain and should be  
20 determined before the experiments. Preanesthesia with isoflurane facilitates *i.p.* injection and onset of anesthesia. Approximately 3-10 minutes after *i.p.* injection, animals no longer respond to pain. If analgesia is not complete after 10 minutes, additional  
25 dose of 50 mg/kg Ketanest plus 2.5 mg/kg Rompun *i.m.* (50% of the initial *i.p.* dose) should be injected. The animals were adjusted in a commercially available small animal stereotactic apparatus. The skin was cleaned with alcohol and a median incision  
30 (approximately 1 cm in length) was performed over the skull using a sterile surgical blade. The skin was then slightly disattached from the skull. A burrhole was made using a dental drill on the right side of the hemisphere (coordinates: 2 mm lateral and 1 mm  
35 anterior to the bregma). Care was taken not to

disrupt the meninges or to damage the brain (check via microscope). A hamilton syringe was placed in the burrhole at the level of the arachnoidea and then slowly lowered until the tip is 3 mm deep in the brain structure (the target point is the cauda-putamen, lateral to the frontal horn of the lateral ventricle). Two to twenty microliters of cells (depending of the amount of cells one wishes to graft) were slowly injected. The maximum volume rats tolerate is 20-25 microliters. If a higher volume is injected, the animal can die immediately due to increased intracranial pressure. After application of the cells, the syringe was not removed immediately in order to allow dissolution of the cells in the brain. After approximately 30 seconds, the syringe was removed slowly. Under these conditions no or very little liquid comes out of the burrhole. The skull was then cleaned and the animals did not bleed at the area operated (check via microscope). The skin was then closed with sutures. Approximately 20-30 minutes was needed for intracerebral grafting of tumor cells in one animal (plus anesthesia).

Animals are monitored for 18-22 days at which time surviving rats are sacrificed, their brains removed (quick frozen or fixed in formalin) and analyzed by standard techniques for measuring tumor volume.

Experiment No. 1

Number of Rats	Number of C6 Cells	Virus-Producer Cell Line	Number of Virus-Cells
8	$5 \times 10^4$	Flk-1 TM	$5 \times 10^6$
8	$5 \times 10^6$	0	0

All cells were placed intracerebrally in a total volume of  $\leq 25 \mu\text{l}$ .

The results of this experiment are described in Section 6.2.6 and shown in Figures 16A and 16B.

5

6.1.14. ASSAY FOR AND IDENTIFICATION OF  
ORGANIC COMPOUNDS THAT MODULATE  
FLK-1 MEDIATED SIGNAL TRANSDUCTION

10 Organic compounds that modulate Flk-1 receptor mediated signal transduction can be assayed in a cellular Flk-1 assay wherein modulation of Flk-1 receptor autophosphorylation is measured using an antiphosphotyrosine antibody. In the example shown below, the results are analyzed using a Western blot  
15 of electrophoresed cell lysates. Levels of phosphorylation as be measured by other techniques known in the art.

NIH3T3 cells expressing high levels of Flk-1 were seeded in 12-well plates at 250,000 cells/well in DMEM + 0.5% calf serum and incubated overnight at 37° C  
20 plus or minus the test substance. Flk-1 tyrosine kinase was stimulated by the addition of 100-500 pM of VEGF/well for 5-10 minutes at 37° C. After stimulation, cells were washed with phosphate buffered saline (PBS) and then lysed with 200  $\mu\text{l}$  of sample  
25 buffer (100 mM Tris pH 6.8, 5% glycerol, 1.75% SDS, 1.25 mM EDTA, 0.5 mM sodium vanadate, 2.5 mM sodium pyrophosphate, 1.25% 2-mercaptoethanol). Cell lysates were transferred to centrifuge tubes, boiled at 100° C for 5 minutes, and then centrifuged at 16,000 G for 5  
30 minutes. Supernatants were removed and stored at -80° C.

For the Western blot assay, forty microliters of saved supernatant per lane were loaded onto a 7.5%  
35 SDS-PAGE gel (10 lanes/gel, 1.5 mm thick) and run at 120 V until the dye reached the bottom of the gel.

The running buffer used contains 20 mM Tris, 192 mM glycine and 0.1% SDS. Proteins were then transferred to nitrocellulose membrane (Bio-Rad) at 500 mA for 1 hour using ice-cold transblotting buffer containing  
5 50% tank buffer, 20% methanol and 30% water. The nitrocellulose was then blocked with 5% nonfat milk in TBST (50 mM Tris, 150 mM NaCl, and 0.1% triton) for 1 hour or overnight, immunoblotted with a monoclonal antibody against phospho-tyrosine (UBI or Sigma,  
10 1:3000) in TBST for 1 hour, followed by goat anti-mouse (Bio-Rad 1:3000) in TBST-buffer for another 1 hour. Protein bands were detected by soaking the membrane in ECL chemiluminescence system (Amersham Corp., prepared by mixing equal volumes of reagent 1  
15 and 2) for 1 minute and then exposing the film for about 1-10 minutes.

6.1.15. SYNTHESIS OF A 3-PHENYL-1, 4-DIAZA-ANTHRACENE

A preferred method of synthesis of AG1385 is as follows: 0.47 grams (3mM) 2,3-diaminonaphthalene and 0.47 grams phenyl gloxal hydrate in 20 ml ethanol were refluxed 1.5 hour. Cooling and filtering gave 0.5g  
20 (65%) of a light brown solid, mp 163 °C. NMR CDCl<sub>3</sub>: δ 9.38 (1H, 1.c., H<sub>2</sub>), 8.71, 8.67 (2H, 2d, H<sub>5</sub>,10), 8.25,  
25 8.10 (4H, AA'BB'm, H<sub>6</sub>-9), 7.58(5H, m, Ph). MS: +256(M+, 100%), 229 (M-CN, 12%), 126(71%) m/e.

6.2. RESULTS

30 6.2.1. ISOLATION OF Flk-1

To identify RTKs that are expressed during mouse development, PCR assays using two degenerate oligonucleotide primer pools that were designed on the basis of highly conserved sequences within the kinase  
35 domain of RTKs were performed (Hanks, S.K. et al. 1988, Science 241:42-52). DNA extracted from a λgt10



cdna library of day 8.5 mouse embryos (Fahrner, K. et al., 1987, EMBO. J., 6:1497-1508), a stage in mouse development at which many differentiation processes begin was used as the template in the PCR assays. In  
5 a parallel approach, with the intention of identifying RTKs that regulate angiogenesis, similar primers were used for the amplification of RTK cdna sequences from capillary endothelial cells that had been isolated from the brains of postnatal day 4-8 mice, a time at  
10 which brain endothelial cell proliferation is maximal (Robertson, P.L. et al., 1985, Devel. Brain Res. 23:219-223). Both approaches yielded cdna sequences (FIG. 11, SEQ. ID NO.:) encoding the recently described fetal liver RTK, Flk-1 (Matthews, W. et al.,  
15 1991, Proc. Natl. Acad. Sci. U.S.A. 88:9026-9030). Based on amino acid homology, this receptor is a member of the type III subclass of RTKs (Ullrich, A. and Schlessinger, J. 1990, Cell 61:203-212) and is closely related to human *flt*, which also contains  
20 seven immunoglobulin-like repeats in its extracellular domain in contrast to other RTKs of that subfamily, which contain only five such repeat structures (Matthews, W. et al., 1991, Proc. Natl. Acad. Sci. U.S.A. 88:9026-9030). Sequence comparisons of Flk-1  
25 with KDR (Terman, B.I. et al., 1991, Oncogene 6:1677-1683) and TKr-C (Sarzani, R. et al., 1992, Biochem. Biophys. Res. Comm. 186:706-714) suggest that these are the human and rat homologues of Flk-1, respectively (Figure 1).

30

#### 6.2.2 EXPRESSION OF Flk-1 mRNA DURING EMBRYONIC DEVELOPMENT

As a first step towards the elucidation of the biological function of Flk-1, the expression of Flk-1  
35 mRNA was analyzed in mouse embryos at different

development stages. Northern blot hybridization experiments indicated abundant expression of a major 5.5 kb mRNA between day 9.5 and day 18.5, with an apparent decline towards the end of gestation (Figure 2A). In postnatal day 4-8 brain capillaries Flk-1 mRNA was found to be highly enriched compared to total brain mRNA (Figure 2B).

*In situ* hybridization experiments were performed to obtain more detailed information about the expression of Flk-1 during different embryonal stages. A single-stranded antisense, 2619-nucleotide-long DNA probe comprising the Flk-1 extracellular domain was used as a probe because it generated the most specific hybridization signals. As an example, a parasagittal section of a day 14.5 embryo is shown in Figures 3A, 3b and 3C. High levels of hybridization were detected in the ventricle of the heart, the lung, and the meninges; other tissues such as brain, liver, and mandible appeared to contain fewer cells expressing Flk-1 mRNA. Thin strands of Flk-1 expression were also observed in the intersegmental regions of the vertebrae and at the inner surface of the atrium and the aorta. Higher magnification revealed that the expression of Flk-1 seemed to be restricted to capillaries and blood vessels. Closer examination of the heart, for example, showed positive signals only in the ventricular capillaries and endothelial lining of the atrium (Figure 4A). In the lung, Flk-1 expression was detected in peribronchial capillaries, but was absent from bronchial epithelium (Figure 4D). The aorta showed strong hybridization in endothelial cells, but not in the muscular layer (Figure 4C).

6.2.3. EXPRESSION OF Flk-1  
DURING ORGAN ANGIOGENESIS

5 The neuroectoderm in the telencephalon of a day  
11.5 mouse embryo is largely avascular; the first  
vascular sprouts begin to radially invade the organ  
originating from the perineural vascular plexus (Bär,  
J., 1980, Adv. Anat. Embryol. Cell. Biol. 59:1-62;  
Risau, W. and Lemmon, V. 1988, Dev. Biol. 125:441-  
450). At this stage, expression of Flk-1 was high in  
10 the perineural vascular plexus and in invading  
vascular sprouts, as shown in Figure 5A. These in  
situ hybridization analyses indicated that the  
proliferating endothelial cells of an angiogenic  
sprout expressed the Flk-1 mRNA. At day 14.5, when  
15 the neuroectoderm is already highly vascularized,  
numerous radial vessels as well as branching vessels  
of the intraneural plexus contained large amounts of  
Flk-1 mRNA (Figure 5B). At postnatal day 4, when  
sprouting and endothelial cell proliferation is at its  
20 highest, strong expression of Flk-1 mRNA was observed  
in endothelial cells (Figure 5C). Conversely, in the  
adult brain when angiogenesis has ceased, Flk-1  
expression was very low (Figure 5D) and appeared to be  
restricted mainly to the choroid plexus (Figures 6A  
and 6B). In the choroid plexus, cells in the inner  
25 vascular layer expressed Flk-1 mRNA, while epithelial  
cells did not (Figure 6A, 6B).

The embryonic kidney is vascularized by an  
angiogenic process (Ekblom, P. et al., 1982, Cell  
30 Diff. 11:35-39). Glomerular and peritubular  
capillaries develop synchronously with epithelial  
morphogenesis. In the postnatal day 4 kidney, in  
addition to other capillaries, prominent expression of  
Flk-1 was observed in the presumptive glomerular  
35 capillaries (Figure 7A). This expression persisted in  
the adult kidney (Figure 7C and 7D) and then seemed to

be more confined to the glomerular compared to the early postnatal kidney.

#### 6.2.4. Flk-1 EXPRESSION IN ENDOTHELIAL CELL PROGENITORS

5

To investigate the possible involvement of Flk-1 in the early stages of vascular development, analysis of embryos at different stages during blood island formation were performed. In a sagittal section of the deciduum of a day 8.5 mouse embryo, Flk-1 expression was detected on maternal blood vessels in the deciduum, in the yolk sac and in the trophoctoderm. Flk-1 mRNA was also found in the allantois and inside the embryo, mainly located in that part where mesenchyma is found (Figure 8A). At a higher magnification of the maternal deciduum, high levels of Flk-1 mRNA expression were found in the inner lining of blood vessels, which consist of endothelial cells (Figure 8B). In the yolk sac, hybridization signals were confined to the mesodermal layer, in which the hemangioblasts differentiate (Figure 8C). Figure 8D shows a blood island at higher magnification, in which the peripheral angioblasts expressed a high level of Flk-1 mRNA.

25

#### 6.2.5. Flk-1 IS A HIGH AFFINITY RECEPTOR FOR VEGF

Detailed examination of in situ hybridization results and comparison with those for VEGF recently reported by Breier, G. et al. (1992, Development 114:521-532) revealed a remarkable similarity in expression pattern. Furthermore, Flk-1 expression in the glomerular endothelium and VEGF in the surrounding epithelial cells (Breier, G. et al., 1992, Development 114:521-532) raised the possibility of a paracrine relationship between these cells types and suggested

30

35

therefore a ligand-receptor relationship for VEGF and Flk-1, respectively. In order to test this hypothesis, the full-length Flk-1 cDNA was cloned into the mammalian expression vector pCMV, which contains  
5 transcriptional control elements of the human cytomegalovirus (Gorman, C.M. et al., 1989, Virology 171:377-385). For transient expression of the receptor, the Flk-1 expressing plasmid was then transfected into COS-1 fibroblasts.

10 Specific binding of VEGF to the Flk-1 RTK was demonstrated by crosslinking and competition binding experiments. Purified  $^{125}\text{I}$ -labeled VEGF was incubated with COS-1 cells transfected with the pCMV-Flk-1 expression vector. Crosslinking with DSS and  
15 subsequent analysis of immunoprecipitation, PAGE, and autoradiography revealed an approximately 220 kD band which was not detected in the control experiment with untransfected COS-1 cells and is likely to represent the VEGF/Flk-1 receptor complex (Figure 9A). In  
20 addition, VEGF competed with  $^{125}\text{I}$ -VEGF binding to Flk-1 expressing COS-1 cells (Figure 9B), whereas untransfected COS-1 cells did not bind  $^{125}\text{I}$ -VEGF. The interaction of VEGF with the receptor on transfected cells was specific, as PDGF-BB did not compete with  
25 binding of  $^{125}\text{I}$ -VEGF. Analysis of the binding data revealed a Kd of about  $10^{-10}$  M, suggesting that Flk-1 is a high affinity receptor of VEGF. This finding, together with the Flk-1 and VEGF in situ hybridization results strongly suggests that Flk-1 is a physiolo-  
30 gically relevant receptor for VEGF.

An autophosphorylation assay was performed to confirm the biological relevance of VEGF binding to the Flk-1 receptor. COS1 cells which transiently expressed Flk-1 were starved in DMEM containing 0.5%  
35 fetal calf serum for 24h, stimulated with 0.5 mM VEGF,

and lysed. The receptors were immunoprecipitated with the Flk-1 specific polyclonal antibody CT128, and then analyzed by SDS-PAGE and subsequent immunoblotting using the antiphosphotyrosine antibody 5E2 (Fendly, B.M. et al., 1990, Cancer Research 50:1550-1558). A shown in Figure 10, VEGF stimulation of Flk-1 expressing cells led to a significant induction of tyrosine phosphorylation of the 180 kD Flk-1 receptor.

10           6.2.6.   INHIBITION OF TUMOR GROWTH  
                  BY TRANSDOMINANT-NEGATIVE  
                  INHIBITION OF Flk-1

          The Flk-1 receptor is believed to play a major role in vasculogenesis and angiogenesis. Therefore, inhibition of Flk-1 activity may inhibit vasculogenesis of a developing tumor, for example, and inhibit its growth.

          The dominant-negative potential of Flk-1 TM was first examined by measuring its influence on the mitogenic response of Flk-1-expressing NIH 3T3 cells after superinfection with the Flk-1 TM virus. [<sup>3</sup>H] thymidine incorporation in the 3T3 Flk-1 cell line was maximally stimulated by 500 pM VEGF, with an EC<sub>50</sub> of about 100 pM. After superinfection with the Flk-1 TM virus, the Flk-1/VEGF-mediated mitogenic response was dramatically suppressed even at a ligand concentration of 5 nM. While 3T3 Flk-1/Flk-1 TM cells expressed wild type Flk-1 levels equal to the parental line, they displayed, due to overexpression of Flk-1 TM, a 6-fold increase of cell surface receptors, as determined by <sup>125</sup>I-VEGF binding. These results were further extended by Flk-1 TM virus-induced suppression of Flk-1 transforming activity and demonstrated not only that mutant and wild type Flk-1 physically associated, but also that this interaction generated signalling-incompetent heterodimers. The dominant-

negative inhibitory effect which was achieved at a fivefold excess of Flk-1 TM could not be overcome by a 50 fold ligand excess relative to the  $EC_{50}$  value for mitogenic activation. Moreover, Flk-1 TM did not  
5 interfere with the signal transduction of the  $\alpha$ - and  $\beta$ -PDGF-receptors, demonstrating the specificity of its dominant-negative action.

To test the dominant-negative potential *in vivo*, tumor cells (C6 rat glioblastoma) and mouse cells  
10 producing a recombinant retrovirus encoding a truncated Flk-1 receptor were mixed and implanted subcutaneously into nude mice. The implanted C6 glioblastoma cells secrete VEGF which will bind to and activate the Flk-1 receptors expressed on the surface  
15 of mouse endothelial cells. In the absence of any inhibitors of vasculogenesis, the endothelial cells will proliferate and migrate towards the tumor cells. Alternatively, if at the time of injection, the tumor cells are co-injected with cells producing recombinant  
20 retrovirus encoding the dominant-negative Flk-1, or if the cells producing recombinant retrovirus are injected after the tumor cells, the endothelial cells growing towards the implanted tumor cells will become infected with recombinant retrovirus which may result  
25 in dominant-negative Flk-1 mutant expression and inhibition of endogenous Flk-1 signaling. Suppression of endothelial cell proliferation and migration will result in failure of the implanted tumor cells to become vascularized which will lead to inhibition of  
30 tumor growth. As shown in Figures 12, 13 and 15 tumor growth is significantly inhibited in mice receiving implantations of cells producing truncated Flk-1 indicating that expression of a truncated Flk-1 receptor can act in a dominant-negative manner to  
35 inhibit the activity of endogenous wild-type Flk-1.

As a control, any direct influence of the retroviruses on the growth of the tumor cells can be excluded by growing C6 cells in conditioned media of the different retrovirus-producing cell lines, without  
5 any effect on their growth behavior.

To test the role that Flk-1 receptor is believed to play in angiogenesis and vasculogenesis, and to identify potential inhibitors of the Flk-1 receptor, tumor cells (C6 rat glioblastoma) and mouse cells  
10 producing a recombinant retrovirus encoding a truncated Flk-1 receptor were mixed and implanted intracranially in rats. The implanted C6 glioblastoma cells secrete VEGF which will bind to and activate the Flk-1 receptors expressed on the surface of rat  
15 endothelial cells. Inhibition of Flk-1 receptor signal transduction is measured as inhibition of intracranially tumor growth as seen in rats co-injected with the Flk-1 TM.

Analogous experiments with a variety of other  
20 tumor types support the data obtained with the C6 glioblastoma nude mouse subcutaneous model and strongly demonstrate that inhibition of solid tumor growth can be effected by preventing angiogenesis. Angiogenesis a process that is normally regulated by  
25 VEGF, which when secreted by tumor cells attracts and stimulated in a paracrine fashion Flk-1-positive vascular endothelial cells.

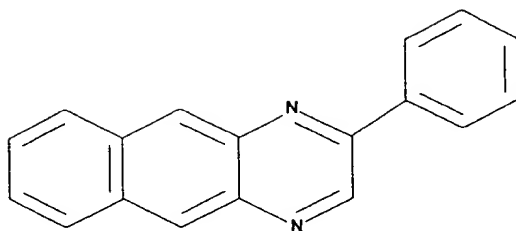
30 6.2.7. IDENTIFICATION OF AN ORGANIC COMPOUND THAT INHIBITS FLK-1 RECEPTOR PHOSPHORYLATION

To identify organic compounds that inhibit Flk-1 receptor, organic compounds have been tested in the cellular assay described in Section 6.1.14 for their  
35 ability to inhibit Flk-1 receptor phosphorylation.



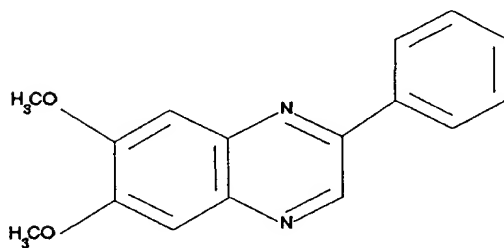
Examples of some of the compounds tested are shown below.

5



10 A14

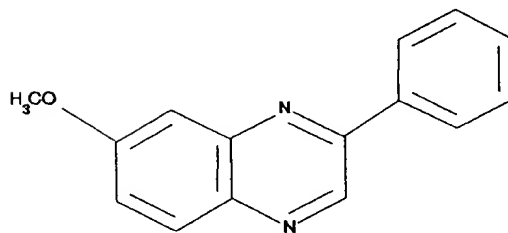
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A9

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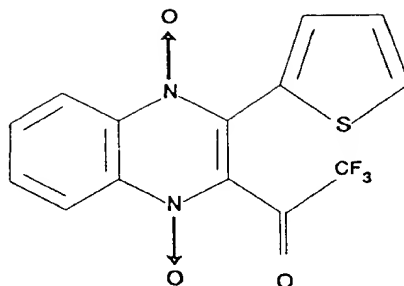
A2

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A5



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Compound A14 was shown to inhibit Flk-1 receptor phosphorylation almost completely at a test concentration of 100  $\mu$ M (Figure 17). Thus this is an example of a compound that could be useful for antagonizing Flk-1 receptor signal transduction and therefore may be useful for inhibiting the Flk-1 receptor mediated mitogenic signal. Accordingly, compound A14 may be therapeutically useful in the treatment of solid tumors by inhibiting angiogenesis.

20

The present invention is not to be limited in scope by the exemplified embodiments which are intended as illustrations of single aspects of the invention, and any clones, DNA or amino acid sequences which are functionally equivalent are within the scope of the invention. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and accompanying drawings. Such modifications are intended to fall within the scope of the appended claims.

30

It is also to be understood that all base pair sizes given for nucleotides are approximate and are used for purposes of description.

35

All references cited herein are hereby incorporated by reference in their entirety.